United States Bankruptcy Court
Southern District of New York

In re

: Chapter 11 case No. : 08-13555 (JMP)

LEHMAN BROTHERS HOLDINGS INC., et al.,

: (Jointly Administered)

Debtors.

NOTICE OF HEARING ON DEBTORS' FIFTY-EIGHTH OMNIBUS OBJECTION TO CLAIMS (NO SUPPORTING DOCUMENTATION CLAIMS)

NANCARROW, PAUL 50 BAKER ST. POTTERS BAR

HERTS EN6 2EB UNITED KINGDOM Mobile (+44) 07854745202

Claim Number:

48870

Date filed:

10/27/2009

Debtor:

08-13555

Classification and Amount: UNSECURED \$100,000.00

I oppose the disallowance, expungement, reduction or reclassification of my claim. I hereby provide the required documentation in support of my claim for your consideration.

Over the course of a 10 year period I invested via bonuses and Lehman share options scheme

Annual bonus of CSA (Common Stock Awards) approx total £5082

Annual Bonus of CSA Equity Type total \$10132

10% of my salary approx £46800

Due to failure of the bank I have lost the value of my investment in respect of securities totalling 2014. I enclose the following evidence in support of my claim.

Statements included:

Annual bonus of CSA (Common Stock Awards) approx total £5082

Annual Bonus of CSA Equity Type total \$10132

Example wage slips 1 for each year over a 10 year period to evidence that 10% of my salary was invested totalling approx £46800

2 bank of NY accounts holding 75/94 share in a Direct purchase and sale plan.

Copy of my Charles Schwab account 6586-4221 where I have held my Lehman Brothers Holdings Inc.

Copy 2006 Dividend and Distributions

Copy FORM 1099-DIV for Account numbers 1211093

Copy FORM 1099-DIV for Account numbers 406298

Copy of your original letter.

Tax forms with the below information:

Tax Identification Number 910003197

payers federal Identification Number 13-3216325

Social Security Number 000000000

08-13555-ma Doc 12805 Filed 11/12/10 Entered 11/17/10 10:55:24 Main Document Pa 2 of 53

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

LBH OMNI58 10-13-2010 (MERGE2,TXNUM2) 4000096456 MAIL ID *** 0035611490 *** BSIUSE: 27

NANCARROW, PAUL 50 BAKER ST. POTTERS BAR

HERTS, EN6 2EB UNITED KINGDOM

WW SECI CREDITIONS.

THIS IS A NOTICE REGARDING YOUR CLAIM(S). YOU MUST READ IT AND TAKE ACTION IF YOU DISAGREE WITH THE OBJECTION.

IF YOU HAVE ANY QUESTIONS ABOUT THIS NOTICE OR THE OBJECTION, PLEASE CONTACT DEBTORS' COUNSEL, ERIK ENCARNACION, ESQ., AT 214-746-7700.

NOTICE OF HEARING ON DEBTORS' FIFTY-EIGHTH OMNIBUS OBJECTION TO CLAIMS (NO SUPPORTING DOCUMENTATION CLAIMS)

- in the still right too.	TO BE DISALLOWED & EXP Claim Number:	
NANCARROW, PAUL	Ciami Number:	48870
50 BAKER ST.	Date Filed:	10/27/2009
POTTERS BAR	Debtor:	
HERTS, EN6 2EB UNITED KINGDOM		08-13555
	Classification and Amount:	UNSECURED: \$ 100,000.00

PLEASE TAKE NOTICE that, on October 13, 2010, Lehman Brothers Holdings Inc. and certain of its affiliates (collectively, the "Debtors") filed their Fifty-Eighth Omnibus Objection to Claims (No Supporting Documentation Claims) (the "Objection") with the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

The Objection requests that the Bankruptcy Court expunge, reduce, reclassify, and/or disallow your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED on the ground that said claim violates the Bankruptcy Court's July 2, 2009 order setting forth the procedures and deadlines for filing proofs of claim in these chapter 11 cases (the "Bar Date Order") [Docket No. 4271], as it does not include supporting documentation or an explanation as to why such documentation is unavailable. Any claim that the Bankruptcy Court expunges and disallows will be treated as if it had not been filed and you will not be entitled to any distribution on account thereof.

If you do NOT oppose the disallowance, expungement, reduction or reclassification of your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED, then you do NOT need to file a written response to the Objection and you do NOT need to appear at the hearing.

If you DO oppose the disallowance, expungement, reduction or reclassification of your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED, then you MUST file with the Court and serve on the parties listed below a written response to the Objection that is received on or before 4:00 p.m. Prevailing Eastern Time on November 15, 2010 (the "Response Deadline").

A list of the Debtors, along with the last four digits of each Debtor's federal tax identification number, is available on the Debtors' website at http://www.lehman-docket.com.

Your response, if any, must contain at a minimum the following: (i) a caption setting forth the name of the Bankruptcy Court, the names of the Debtors, the case number and the title of the Objection to which the response is directed; (ii) the name of the claimant and description of the basis for the amount of the claim; (iii) a concise statement setting forth the reasons why name of the claimant and description of the basis for the amount of the reasons set forth in the Objection, including, but not the claim should not be disallowed, expunged, reduced, or reclassified for the reasons set forth in the Objection, including, but not limited to, the specific factual and legal bases upon which you will rely in opposing the Objection; (iv) all documentation or other evidence of the claim, to the extent not included with the proof of claim previously filed with the Bankruptcy Court or provided to the Debtors in response to the Derivative Questionnaire and/or Guarantee Questionnaire (as defined in the order, dated July 2, 2009, evidence of the claim, to the extent not included with the proof of claim previously filed with the Bankruptcy Court or provided to the Debtors in response to the Derivative Questionnaire and/or Guarantee Questionnaire (as defined in the order, dated July 2, 2009, evidence of the claim, to the extent not included with the proof of claim previously filed with the Bankruptcy Court or provided to the Debtors in response to the Derivative Questionnaire and/or Guarantee Questionnaire (as defined in the order, dated July 2, 2009, evidence of the claim, to the extent not included with the proof of claim previously filed with the Bankruptcy Court or provided to the Debtors must return extend from the proof of claim, approving the form and manner of notice thereof and approving the proof of claim, approving the form and manner of notice thereof and approving the proof of claim, approving the form and manner of notice thereof and approving the Dipletton (iv) all documentation or other the Debtors must re

The Bankruptcy Court will consider a response only if the response is timely filed, served, and received. A response will be deemed timely filed, served, and received only if the original response is actually received on or before the Response Deadline will be deemed timely filed, served, and received only if the original response is actually received on or before the Response Deadline will be deemed timely filed, served, and received only if the original response is actually received on or before the Response Deadline will be deemed timely filed, served, and received. A response only if the response is timely filed, served, and received. A response only if the response is timely filed, served, and received. A response only if the response is timely filed, served, and received. A response only if the response is timely filed, served, and received. A response Deadline will be deemed timely filed, served, and Filed. Seq.), and received only if the original response is actually received on or before the Response Deadline on the secured timely filed, served, and received. A response Deadline on the secured creditors appointed in these cases, Milbank, Tweed, Hadley & York 10004 (Attn: Andy Velez-Rivera, Esq., Paul Schwartzberg, Esq., Brian Masumoto, Esq., Linda Riffkin, Esq., and Tracy Hope York 10004 (Attn: Andy Velez-Rivera, Esq., Paul Schwartzberg, Esq., Brian Masumoto, Esq., Linda Riffkin, Esq., and Tracy Hope York 10004 (Attn: Andy Velez-Rivera, Esq., Paul Schwartzberg, Esq., Brian Masumoto, Esq., Linda Riffkin, Esq., and Tracy Hope (iii) the Office of the United States Trustee for the Southern District of New York, Ne

A hearing will be held on December 1, 2010 to consider the Objection. The hearing will be held at 10:00 a.m.

A hearing will be held on December 1, 2010 to consider the Objection. The hearing will be held at 10:00 a.m.

Prevailing Eastern Time in the United States Bankruptcy Court for the Southern District of New York, One Bowling Green, New York, New York 10004, Courtroom 601. If you file a written response to the Objection, you should plan to appear at the hearing. The York, New York 10004, Courtroom 601. If you file a written response to the Objection with respect to your claim. If the Debtors do continue the hearing with respect Debtors, however, reserve the right to continue the hearing will be held at a later date. If the Debtors do not continue the hearing with respect hearing with respect to your claim, then the hearing will be conducted on the above date.

If the Bankruptcy Court does NOT disallow, expunge, reduce or reclassify your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED, then the Debtors have the right to object on other grounds to the claim (or to any other claims you may have filed) at a later date. You will receive a separate notice of any such objections.

You may participate in a hearing telephonically provided that you comply with the Court's instructions (including, without limitation, providing prior written notice to counsel for the Debtors and any statutory committees), which can be found on the Court's website at www nvsh.uscourts.gov.

Court's website at www.nysb.uscourts.gov.

If you wish to view the complete Objection, you can do so on the Court's electronic docket for the Debtors' chapter 11 cases, which is posted on the internet at www.nysb.uscourts.gov (a PACER login and password are required and can be obtained 11 cases, which is posted on the internet at www.nysb.uscourts.gov), or for free at http://www.lehman-docket.com. If you would like through the PACER Service Center at www.pacer.psc.uscourts.gov), or for free at http://www.lehman-docket.com. If you would like through the PACER Service Center at www.pacer.psc.uscourts.gov), or for free at http://www.lehman-docket.com. If you would like through the PACER Service Center at www.pacer.psc.uscourts.gov), or for free at http://www.lehman-docket.com. If you would like to request a complete copy of the Objection at the Debtors' expense, please contact the Debtors' approved claims agent Epiq Bankruptcy Solutions, LLC toll-free at 1-866-879-0688.

If you have any questions about this notice or the Objection, please contact Debtors' counsel Erik Encarnacion, Esq., at 214-746-7700. CLAIMANTS SHOULD NOT CONTACT THE CLERK OF THE BANKRUPTCY COURT TO DISCUSS THE MERITS OF THEIR CLAIMS.

DATED: October 13, 2010 New York, New York

WEIL, GOTSHAL & MANGES LLP 767 Fifth Avenue New York, New York 10153 (212) 310-8000 Shai Y. Waisman ATTORNEYS FOR DEBTORS AND DEBTORS IN POSSESSION

. O	08- fars 1042-Soc 1 Subject to Withhouse Internal Revenue Service VOID COR	Maing of	nte∉4ricbinie 7/10 53	¹⁰ 2007	Main Document
	I I I I COMB (2 Gines income	**FA1CD	PRO-RATA BA	SIS PEDARTA	CODA R
	06 \$15.03 allowances	income	5 Tax rate 6 Exem	Dioni 711S Fodo	G for Recipient rat tax 8 Amount repaid to
	9 Withholding and I		15 °°0	i Wilansia	\$2.26
	X EIN - 3160305		14 Recipient's U.S. 7	IN. If any	·
	10 WITHHOLDING AGENT'S name and address (including	7ID code)	SSNor	TIME] EIN OLEN
	101 RARCIAV CT	ZIF COGE)	15 Recipient's countr	of rooteless of	ax purposes 16 Country code
	NEW YORK NY TORRETTE		17 NONQUALIFIED I FLOW-THROUGH	NTERMEDIADVIC	UK UK
	TOLL FREE: 1-800-824-5707		FLOW-THROUGH	ENTITY'S name	(NQI's)/ 18 Country code
	L .		19 NQI's/Flow-throug	h entity's address	
	11 Recipient's account number (optional) 4611 0000406298 12	Recipient code		,	
	13 RECIDIENTES				
		al code)			
	PAUL NANCARROW THE CHANTRY-50 BAKER STREET POTTERSBAR HERTS	2	20 NQI's/Flow-through	entity's TIN, if any	b
	E ENGLAND ENG 2EBERTS	014634	I GI PAYER'S name and	-1 T/A 4/4 1/42	
	F	*	13-321632	5	om withholding agent's) OLDINGS INC
			22 State income tax wi		s state tax no. 24 Name of state
1		 			s state tax no. 24 Name of state
-	Form 1042-S Foreign Person's II				Form 1042-S (2007)
	Department of the Town	S. Source	e Income	2007	
	Internal Revenue Service VOID CORDE	ıı ıy	 ,	2007	OMB No. 1545-0096
	code 3 Withholding 4 Net inc	come	PRO-RATA BASI	S REPORTING	COPY C for Recipient Attach to any Federal tax return you file
	\$15.03		code	withheld	8 Amount repaid to
	9 Withholding agent's EIN ► 13-5160382			\$	2.26
		1	14 Recipient's U.S. TIN,		
	10 WITHHOLDING AGENT'S name and address (including ZIP THE BANK OF NEW YORK	code)	15 Recipient's country of	rocidones de la	EIN QI-EIN
			UNITED KIN	IGDOM	urposes 16 Country code
	NEW YORK NY 10286	İ	17 NONQUALIFIED INTI FLOW-THROUGH EN	ERMEDIARY'S (NO	Ql's)/ 18 Country code
- 1	1-800-824-5707	-			
- 1	11 Recipient's account number (optional) 12 Rec		19 NQI's/Flow-through en	ntity's address	
ŀ	13 RECIDIENTO	ipient code			
	13 RECIPIENTS name (first name, initial, and last name), street city or town, province or state, and country (including postal of	address,			1
- 1	PAUL NANCARROW THE CHANTRY-50 BAKER STREET POTTERSBAR HERTS ENGLAND ENG 2EB		20 NQI's/Flow-through en	Ala. d - Series	
- 1	THE CHANTRY-50 BAKER STREET POTTERSBAR HERTS ENGLAND ENG 2EB	014634	6	A L AVA	
	1 2 2 E B	34	LEHMAN BRO 13-3216325	THERS HOL	DINGS THE
L		-	2 State income tax withhe		;
				23 Fayers Sta	ate tax no. 24 Name of state
_	1042-S Foreign Person's U.S.				Form 1042-S (2007)
De	ensylment sex.	Source	Income 🕝	2007	•
711	ternal Revenue Service VOID CORRECT	9	4	2007	OMB No. 1545-0096
ı	code 3 Withholding 4 Net income		PRO-RATA BASIS R	EPORTING Atta	Copy D for Recipient ch to any State tax return you file
L	<u> </u>		_ code	U.S. Federal tax withheid	8 Amount repaid to
	9 Withholding agent's EIN ► 13-5160382		15 0	\$2	. 26 Technetit
1		14	Recipient's U.S. TIN, if a	ny ►	
	IO WITHHOLDING AGENT'S name and address (including ZIP coo	le) 15	Recipient's country of res	EIN	QI-EIN
		17	UNITED KING	DOM	oses 16 Country code
	TOLL FREE NY 10286	"	NONQUALIFIED INTERA FLOW-THROUGH ENTIT	MEDIARY'S (NOI's),	18 Country code
	1-800-824-5707				
11	1 Recipient's account number (optional) 4611 0000406298 12 Recipie		NQI's/Flow-through entity	s address	
13	4611 0000406298 12 Recipie	ur code			1
	B RECIPIENT'S name (first name, initial, and last name), street add city or town, province or state, and country (including postal code) PAUL MANCARDOLL	iress,			
XBP11111	气()多气 对对对比较优化订图		NQI's/Flow-through entity's	TIM W	
15	POTTERSBAR HERTS STREET ENGLAND ENG ZEB	: ∠1:1	"AYEH'S name and TIM /#	different	
12	THE SER OF	; Ļ	EHMAN BROTH 3-3216325	ERS HOLD	INGS INC
L		22 5	3-3216325 State Income tax withheld		
				23 Payer's state t	ax no. 24 Name of state
		·			Form 1042-S (2007)
THE RESERVE OF THE PERSON NAMED IN					···· • • • • 120071

U.S. Income Jax Filing Requirements

Every nonresident alien individual, nonresident alien flutciary, and foreign corporation with United States income, including frome that is effectively connected with the conduct of a trade or business in the United States, must file a United States income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien flutciary, or a foreign corporation if such individual, nonresident alien flutciary, or a foreign corporation if such person was not engaged in a trade or business in the United States person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of United States tax at the source. (Corporations file Form 1120-F, all others file Form 1040NR-EZI fielgible). You may get the return forms and instructions at any United States Embasey or consulate or by writing to: National Distribution Center, P.O. Box 8903, Bloomington, IL 81702-8903, U.S.A.

Tout étranger non-résident, tout organisme fidélicommissaire étranger non-résident et toute société étrangère percevent un revenu aux États-Unis, y compris but revenu dérivé, en fait, du fonctionnement d'un commerce ou d'une affaire aux États-Unis, doit fonctionnement d'un commerce ou d'une affaire aux États-Unis, doit soumettre aux États-Unis, une déclaration d'impôt sur le revenu n'est exigée d'un étranger non-résident, d'un organisme fidélicommissaire étranger non-résident, du dune société étrangère s'ils n'ont pris part à aucun commerce ou affaire aux États-Unis à aucun moment pendant l'année fiscale et si les impôts dont ils sont redevables, ont été l'année fiscale et si les impôts dont ils sont redevables, ont été l'entièrement acquittés par une retenue à la source, de leur montant. (Les sociétés doivent faire leur déclaration d'impôt en remplissant le formulaire 1120-F; tous les autes redevables doivent remplir le formulaire 1040NR (ou 1040NR-EZ si éligible)). On peut se procurer formulaires de déclarations d'impôt et instructions dans toutes les Ambassades et tous les Consulats des États-Unis. L'on peut également s'adresser pour tous renseignements a: National Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Filed 11/12/10

extanjero no residente y toda sociedad anorama extensiva que extanjero no residente y toda sociedad anorama extensiva que per los Estades Unidos, lociuy expodo ingresos. En residente unidos extensiva en extensiva

Jede ausländische Enzelperson, jeder ausländische Bevollmächtigte und jede ausländische Gesellschaft mit Einkommen in den Vereinigten Staaten, einschliesslich des Einkommens, welches direkt mit der Ausübung von Handel oder Gewerbe kniemhalb der Staaten verbunden ist, müssen eine Einkommensbuererklärung der Vereinigten Staaten abgeben. Eine Einkommensbuererklärung der Ausländern, ausländischen Bevollmächtigten oder ausländischen Ausländern, ausländischen Besteuerjahres kein Gewerbe oder Handel in den Vereinigten Staaten eingereicht werden, falls eine solche Person während des Stauerjahres kein Gewerbe oder Handel in den Vereinigten Staaten ausgeübt hat und die Handel in den Vereinigten Staaten ausgeübt hat und die Steuerschuld durch Einkommensquelle abgegolten ist. (Gesellschaften reichen den Vordruck 1120-F ein; alle anderen reichen das Formblatt 1040NR-EZ ein.) Einkommensteuersklärungen und instruktionen können bei den Botschaften und Konsulaten der Vereiningten Staaten einsgeholt werden. Um weitere Informationen wende man sich bitte an: National Distrikution Center, P.O. Box 8903, Bioomington, IL, 61702-9903, U.S.A.

Explanation of Codes

Box 1. Income code.

C	code	Types of Income
interest	01 02 03 04 05 29 30 31 33	Interest paid by U.S. obligors—general Interest paid on real property mortgages Interest paid to controlling foreign corporations Interest paid by foreign corporations Interest on tax-free covernant bonds Deposit interest Criginal issue discount (OID) Short-term OID Substitute payment—interest
Dividend	06 07 08 34	Dividends paid by U.S. corporations—general Dividends qualifying for direct dividend rate Dividends paid by foreign corporations Substitute payment—dividends
Other	09 10 11 12 14 15 17 18 19 20 22 25 27 28 23 35 36	Capital gains industrial royalities industrial royalities (a.g., copyright, recording, publishing). Other royalities (e.g., copyright, recording, publishing). Beal properly income and natural recourses royalities. Beal properly income and natural recourses royalities. Scholarship or fellowiship grains. Scholarship or fellowiship grains. Compensation for independent personal services. Compensation for dependent personal services. Compensation for federal personal services. Compensation during studying and training. Earnings as an artist or attilete. Compensation during studying and training. Earnings as an artist or attilete. BEIT) distributions of capital gains. Trust distributions subject to IRC section 1445. Unsevered growing crops and imber distributions by a trust subject to IRC section 1445. Publicly traded partnership distributions subject to IRC section 1446. Gambling winning. Notional principal contract income? Substitute payment—other.

Box 6. Exemption code (applies if the tax rate entered in box 5 is 00.00).

Authority for Exemption

Income effectively connected with a U.S. trade or business Exempt under an internal Revenue Code section (income other than portfolio interest) income to not from U.S. sources Exempt under tax treaty Fortfolio interest exempt under an internal Revenue Code section Qualified intermediary that assumes primary withholding responsibility Withholding foreign partnership or withholding foreign trust U.S. branch treated as a U.S. person Qualified intermediary represents income is exempt
2. Recipient code. Type of Recipient
(Abe of Decibiant
Individual ² Corporation ² Partnership other than withholding toreign partnership ² Withholding foreign partnership or withholding foreign trust
Trust Government or international organization Tax-exempt organization (IRC section 501(a)) Private foundation
Artist or athlete* Estate U.S. branch reated as a U.S. person Qualified Intermediary Civalified Intermediary Private arrangement intermediary withholding rate pool—general Private arrangement intermediary withholding rate pool—
Private arrangement intermediaty with holding rate pool — Private arrangement intermediary withholding rate pool — exempt organizations' Cualified intermediary withholding rate pool — exempt organizations' Qualified intermediary withholding rate pool — exempt organizations' Qualified intermediary withholding rate pool — exempt organizations'

If compensation that otherwise would be covered under income Codes 18—19 is directly attributable to the recipient occupation as an artist or attribute, use income Code 20 instead.

If income Code 20 is used, Recipient Code 09 (artist or attribute) should be used instead of Recipient Code 01 (individual), 02 (corporation), or 03 (partnership other than withholding foreign partnership). Use appropriate interest income Code for embedded interest in a notional principal contract.

Non-U.S. source income received by a nonresident alien is not subject to U.S. tax. Use Exemption Code 03 when entering an amount for information reporting purposes only.

May be used only by a qualified intermediary.

"Subject to 30% withholding rate unless the recipient is from one of the treaty countries listed under Gambling winnings (Income Code 28) in Pub. 515.

Explanation of Codes

В

	t Incor	me code.	
	ode	Types of Income	
Interest	01 02 03 04 05 29 30 31 33	Interest paid by U.S. obligers—general interest paid on real property mortgages interest paid to controlling foreign corporations interest paid by foreign corporations interest paid by foreign corporations interest on tax-free covernant bonds Deposit interest Original issue discount (OID) Short-term OII Substitute payment—interest	
Dividend	06 07 08 34	Dividends paid by U.S. corporations—general Dividends qualifying for direct dividend rate Dividends paid by foreign corporations Substitute payment—dividends	
Other	09 111 123 134 155 167 189 190 224 225 227 228 235 335	Capital gains Industrial royalities Industrial royalities Industrial royalities Motion poture or television copyright, recording, publishing) Other royalities (e.g., copyright, recording, publishing) Real property income and natural resources royalities Real property income and natural resources royalities Pensions, annutities, alimony, and/or insurance premiums Scholarship or fellowiship grains Compensation for independent personal services Compensation for independent personal services Compensation for teaching, Compensation f	

Box 6. Exemption code (applies if the tax rate entered in box 5 is 00.00).

Authority for Exemption

Code	Additionly for Exemption
01 02	Income effectively connected with a U.S. trade or business Exempt under an internal Revenue Code section (income other than
03 04 05 06 07 08 09	porticible interests from its not from U.S. sources* from is not from U.S. sources* from its not from it
Box 12	Recipient code.
Code	Type of Recipient
01 02 04 04 08 08 08 09 10 11 12 13 14 15 17 20	Individual Corporation Corpora
	the as an effect or athlete use income Code 20 instead,

If compensation that otherwise would be covered under income Codes 16—19 is directly attributable to the recipients occupation as an artist or athlete, use income Code 20 instead, it income Code 20 is used. Recipient Code 09 (artist or athlete) should be used instead of Recipient Code 01 (inclindual), 02 (corporation), or 03 (partnership other than withholding foreign partnership). Use appropriate interest income Code for embedded interest in a notional principal contract. Use appropriate interest income Code for embedded interest in a notional principal contract. Non-U.S. source income received by a nonresident alien is not subject to U.S. tax. Use Exemption Code 03 when entering an amount for information reporting purposes only.

3555 042-S°C 12	2805 File Foreign Pe	11/12/10 E erson's Ly §.0	nter 9g r	ed 11/1 ce inco	7/10 10:9 me 2	55:24 Ma 2006	ain D	OCUMENT 3 No. 1545-0096
Department of the Tressury Internal Revenue Service 1 Income 2 Gross Income	AMENDE			PRO-RATA	BASIS RE			Copy B
code	3 Withhol allowar				6 Exemption code			Amount repaid to recipient
93 Withholding agent's EIN	.29 13-5160382	<u> </u>	14	35.00 Recipient's	00	26. ny 9100031	12	
X EIN	QI-EII	N .		X SSN		·———	97	
10 WITHOLDING AGENT'S n The Bank of New LEHMAN BROTHERS	York	including Zip code)	L	Recipient's o	ountry of res			16 Country cod
P.O. BOX 7090 TROY MI 48007	ESPP		17	NONQUALIFI FLOW-THROU	ED INTERMEI JGH ENTITY	DIARY'S (NQI's), S name	1	18 Country cod
(800) 709-1649			19	NQI's/Flow-	through entity	's address		
11 Recipient's account numbe	er (optional)	12 Recipient code						
13 RECIPIENT'S name(first nat city or town, province or st	me, initial, and las ate, and country	I st name), street addre (including postal code	· —	NQI's/Flow-th	rough entity	s TIN. if any	. <u> </u>	
PAUL NANCARROW THE CHANTRY 50 BAKER STREET						different from w	rithhold	ing agent's)
Potters Bar # EGBR	N6 2EB		225	tate income 1	ax withheld 2	3 Payer's state t	ах по.	24 Name of state

Form 1042-S	Foreign Pe	rson's U.S. S	ource Inco	me 2	006	OMB No. 1545-0096
Department of the Treasury Internal Revenue Service	AMENDE	Withholding	PROPATA			Copy C for Recipient Attach to any Federal tax
1 Income 2 Gross inco	ome 3 Withhol			A BASIS REI		return vou file
code 06	allowan		5 Tax rate	6 Exemption code	7 U.S. federal withheld	tax 8 Amount repaid to recipient
L	93.29		35.00		26.	12
9 Withholding agent's I	EIN 13-5160382		14 Recipient's	U.S. TIN, if ar	y 9100031	.97
X EIN	QI-EII		X SSN	or ITIN		
10 WITHOLDING AGEN	T'S name and addres (including Zin code)			EIN	QI-EIN
The Bank of I	Vata Varle	and any code,			dence for tax po	urposes 16 Country code
LEHMAN BROTHI				VN COUNTE		ŪC
			17 NONQUALIF	IED INTERMED	IARY'S (NQI's)	/ 18 Country code
P.O. BOX 7090			FLOW-THRO	UGH ENTITY'S	name	, , , , , ,
TROY MI 48007						
11 Recipient's account n	number (optional)	12 Recipient code	19 NQI's/Flow-	through entity	s address	
			1	-		
13 RECIPIENT'S name(fir	st name, initial, and las	t name), speet address.	1			i
city or town, province	or state, and country	including postal code)	1			
			i			1
			<u> </u>	<u> </u>		!
			20 NQI's/Flow-ti	hrough entity's	TIN, if any	
PAUL NANCARRO	T.T		21 PAYER'S nar	ne and TIN (if o	different from w	/ithholding agent's)
	TYY		•			i
			ļ			
50 BAKER STRE						J
Potters Bar #	EN6 2EB		22State income	tay withhaid 22	Payor's state	ax no. 24 Name of state
GBR		i		WILLIAM Z	rayers state t	ax no. 24 Name of state
						i

U.S. Income Tax Filing Requirements Every กับกับอริเดียก ซื้อเลี้ยก เลี้ยงสหุ โลส โลส เป็น corporation with United States income, including income that is effectively 7 of mediante y toda sociedad anónima extranjera que reciba ingresos en los connected with the conduct of a trade or business in the United States, must file a United States income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien fiduciary, or a foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of United States tax at the source. (Corporations file Form 1120-F; all others file Form 1040NR (or Form 1040NR-EZ if eligible).) You may get the return forms and instructions at any United States Embassy or consulate or by writing to: Eastern Area

Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Tout étranger non-résident, tout organisme fidéicommissaire étranger non-résident et toute société étrangère percevant un revenu aux Etats-Unis, y compris tout revenu dérivé, en fait, du fonctionnement d'un commerce ou d'une affaire aux Etats-Unis, doit soumettre aux Etats-Unis, une déclaration d'impôt sur le revenu. Cependant aucune déclaration d'impôt sur le revenu n'est exigée d'un étranger non-résident, d'un organisme fidéicommissaire étrange non-résident, ou d'une société étrangère s'ils n'ent pris part à aucun commerce ou affaire aux Etats-Unis à aucun moment pendant l'année fiscale et si les impôts dont ils sont redevables, ont été entièrement acquittés par une retenue à la source, de leur montant. (Les sociétés doivent faire leur déclaration d'impôt en remplissant le formulaire 1120-F; tous les autres redevables doivent remplir le formulaire 1040NR (ou 1040NR-EZ si éligible).) On peut se procurer formulaires de déclarations d'impôts et instructions dans toutes les Ambassades et tous les Consulats des Etats-Unis. L'on peut également s'adresser pour tous renseignements a: Eastern Area Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Explanation of codes

Box 1. Income code.

36

50

Capital gains distributions

Other income

Code	interest Income
01	Interest paid by U.S. obligors-general
02	Interest on real property mortgages
03	Interest paid to controlling foreign corporations
04	Interest paid by foreign corporations
05	Interest on tax-free covenant bonds
29	Deposit interest
30	Original issue discount (OID)
31	Short-term OID
33	Substitute payment - interest
Divide	and Income
06	Dividends paid by U.S. corporations-general
07	Dividends qualifying for direct dividend rate
80	Dividends paid by foreign corporations
34	Substitute payment-dividends
Other	Income
	Capital gains
10	Industrial royalties
11	Motion picture or television copyright royalties
12	Other royalties (e.g. copyright, recording, publishing)
13	Real property income and natural resources royalties
14	Pensions, annuities, alimony, and/or insurance premiums
15	Scholarship or fellowship grants
16	Compensation for independent personal services
17	Compensation for dependent person services
18	Compensation for teaching
19	Compensation during studying and training
20	Earnings as an artist or athlete
24	Real estate investment trust (REIT) distributions of capital gains
25	Trust distributions subject to IRC section 1445
26	Unsevered growing crops and timber distributions subject to
	ection 1445
27	Publicly traded partnership distributions subject to
	ection 1446
28	Gambling winnings
32	Notional principal contract income
35.	Substitute payment-other

Enterpedrantifully (440) வி. இத்த இரிகர்களில் இர்க்கும் குடுக்கும் கூடுக்கும் கூடுக்கும் கூடுக்கும் கூடுக்கும் Estados Unidos, incluyendo ingresos relacionados con la conducción de un negocio o comercio dentro de los Estados Unidos, deberá presentar una declaración estadounidense de impuestos sobre ingreso. Sin embargo, no se requiere declaración alguna a un individuo extranjero, una sociedad anónima extranjera u organismo fideicomisario extranjero no residente, si tal persona no ha efectuado comercio o negocio en los Estados Unidos durante el año fiscal y si la responsabilidad con los impuestos de tal persona ha sido satisfecha plenamente mediante retencion del impuesto de los Estados Unidos en la fuente. (Las sociedades anónimas envian la Forma 1120-F; todos los demás contribuyentes envian la Forma 1040NR (o la Forma 1040NR-EZ si le corresponde).) Se podrán obtener formas e instrucciones en cualquier Embajada o Consulado de los Estados Unidos o escribiendo directamente a: Eastern Area Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Jede ausländische Einzelperson, jeder ausländische Bevollmächtigte und jede ausländische Gesellschaft mit Einkommen in den Vereinigten Staaten, einschliesslich des Einkommens, welches direkt mit der Ausübung von Handel c Gewerbe innerhalb der Staaten verbunden ist, müssen eine Einkommensteuererklärung der Vereinigten Staaten abgeben. Eine Erklärung, mit jedoch nicht von Ausländern, ausländischen Bevollmächtigten oder ausländisch-Gesellschaften in den Vereinigten Staaten eingereicht werden, falls eine solche Person während des Steuerjahres kein Gewerbe oder Handel in den Vereinigten Staaten ausgeübt hat und die Steuerschuld durch Einbehaltung der Steuern der Vereinigten Staaten durch die Einkommensquelle abgegolten ist. (Gesellschafte reichen den Vordruck 1120-F ein; alle anderen reichen das Formblatt 1040NR c wenn passand das Formblatt 1040NR-EZ ein.) Einkommensteuererklärungen un Instruktionen können bei den Botschaften und Konsulaten der Vereiningten Staaten eingeholt werden. Um weitere Informationen wende man sich bitte an: Eastern Area Distribution Center, P.O. Box 8903, Bloomington IL, 61702-8903 U.S.A.

Box 6. Exemption code (applies if the tax rate entered in box 5 is 00.4 **Authority for Exemption**

Income effectively connected with a U.S. trade or husiness

01	Income effectively connected with a U.S. trade or business
02	Exempt under an Internal Revenue Code section (income other
than p	ortfolio interest)
03	Income is not from U.S. sources
04	Exempt under tax treaty
05	Portfolio interest exempt under an Internal Revenue Code sect
06	Qualified intermediary that assumes primary withholding
respor	nsibility
07	Withholding foreign partnership or withholding foreign trust
08	U. S. Branch treated as a U.S. person
09	Qualified intermediary represents income is exempt
Boy 1	2. Recipient code.
Code	Type of Recipient
01	Individual
02	Corporation
03	Partnership other than withholding foreign partnership
04	Withholding foreign partnership or withholding foreign trust
05	Trust
06	Government or international organization
07	Tax-exempt organization (IRC section 501(a))
08	Private foundation
09	Artist or athlete
10	Estate
11	U.S. branch treated as U.S. person
12	Qualified intermediary
13	Private arrangement intermediary withholding rate poolger
14	Private arrangement intermediary withholding rate pool
exem	pt organizations
15	Qualified intermediary withholding rate poolgeneral
16	Qualified intermediary withholding rate poolexempt
organ	izations
17	Authorized foreign agent
18	Public pension fund

If compensation that other wise would be covered under income Codes 16-19 is directly attributable to the recipient's occupation as an artist or athlete, use income Code 20

If Income Code 20 is used. Recipient Code 09 (artist or athlete) should be used instead of Recipient Code 01 (individual), 02 (corporation), or 03 (partnership other than

withholding foreign partnership).
Use appropriate interest income Code for embedded interest in a notional principal contract. Non-U.S. source income received by a nonresident alien is not subject to U.S. tax. Use Exemption Code 03 when entering an amount for information reporting purposes only. May be used only by a qualified informediary.

20

Unknown recipient

Code

 1

Subject to 30% withholding rate unless the recipient is from one of the treaty coutries listed under Gabling winnings (Income code 28) in Pub. 516.

1 Income 2 Gross Income 3 Withhouse 1 Subject to 1 Income 2 Gross Income 3 Withhouse 1 Subject 1	CORREC	0 8 of 53	DDA DATA	200		Docume OMB No. 1545 Copy
code 0 6 6 7 0 3 Withho	iding 4 Net Incom	ne s	PRO-RATA BA	SIS REPORTI	NG	_ for Récipie
9 Withholding	1		15 code	Withheld	1 !	8 Amount rep
X EIN [-49-3	160382	14	Recipient's U.S. T	IN Home	\$7.18	
10 WITHHOLDING AGENT'S TOTAL	EIN SS (including ZIP or		SCNA	T-10.		
101 BARCIAV ST	RK	i t	Recipient's countr INTTED ビ	of residence for	tax purposes	16 Count
NEW YORK NY 10286 TOLL FREE:	LE	17	NONQUALIFIED I LOW-THROUGH	NTERMEDIARY	'S (NOPa)	_ i
1-800-824-5707			-LOW-THROUGH	ENTITY'S name) (140215)/	18 Counti
11 Recipient's account number (optional)		19	Ql's/Flow-through	entity's address		
	12 Recipi	ient code				
13 RECIPIENT'S name (first name, initial, and la city or town, province or state, and country (in	st name), street ad	dress,			,	
PAUL NANCARROW THE CHANTRY-50 BAKER ST POTTERSBAR HERTS ST SENGLAND ENG 2EB			Ol'o /Eleve /I			-
HAUL NANCARROW THE CHANTRY-50 BAKER ST POTTERSBAR HERTS RENGLAND ENG 2EB	REET	N 1 61 7	Ql's/Flow-through AYER'S name and E HMAN BR	7 10 1 114 114		
S THE THE SER	į	3 L	EHMAN BR 3-321632	OTHERS	From withholding	g agent's)
			ate income tax wit			
				Z3 Paye	er's state tax no.	24 Name of
1040 Ct. Familia B						
Form 1042-S Department of the Treasury Internal Revenue Service	ion's U.S. S	Source Inc	ome	2000		
Internal Revenue Service VOID	CORRECTED			2006		No. 1545-009
oode	a A Not income		O-RATA BASIS	REPORTING	Triducti to ally Fi	C for Recip
9 William III	}		15 0	MIGHT THE TOTAL	Itax 8A	mount repaid t ecipient
X EW		14 Rec	iplent's U.S. TIN, i		\$7.18	
10 WITHHOLDING AGENTIO	ncluding 710 and a	l L	SSMOZITIM			
1 101 BARCIAV CF" 'UND	relating ZIF code)	UN	plent's country of	esidence for tax	purposes [Oi-Ei
NEW YORK NY 10286 TOLL FREE:		17 NON	QUALIFIED INTE W-THROUGH EN	RMEDIARY'S (N	IOl'eV	UK
1-800-824-5707						18 Country co
11 Recipient's account number (19 NQI's	s/Flow-through ent	ity's address		
4611 0000 406298	12 Recipient o					
13 RECIPIENT'S name (first name, initial, and last no city or town, province or state, and country (includ	ame), street addres	SS,				
B PAUL NANCARROW		20 NOI's	Flow through		· <u></u>	
PAUL NANCARROW THE CHANTRY-50 BAKER STRE POTTERS BAR ENGLAND ENG 2EB	017831		Flow-through entition of the Property of the P			
	욉	13-	MAN BROT 3216325	HERS HO	LDINGS	ent's) INC
		22 State i	ncome tax withhel			
					tate tax no. 24	Name of state
1042-S Foreign Person	a'alia a	_			Form	1042-S (20
epartment of the Treasury Subject to With	holding	irce incom	e 2	006 L		1545-0096
income 2 Gross income 3 Withholding 4	UKRECTED	PRO-R	ATA RASIS DE	DOD====	Copy D	for Posiniant
06 \$47.94	Net income	5 Tax rate	o Exemption 7	J.S. Federal tay	con to any State to	ax return vou file
9 Withholding agent's EIN > 13-E1/07	0.0	1	\$ 0	**************************************	· 18	nt repaid to ent
X EIN		14 Recipier	it's U.S. TIN, if any	*		
THE BANK OF NEW YORK	ding ZIP code)	i	SSNortTM		N] QI-EIN
101 BARCLAV SEM TURK	,	UNIT	t's country of resid	ence for tax purp	00ses 15 (Country code
TOLL FREE " 10206		FLOW-TI	ALIFIED INTERME TROUGH ENTITY	DIARY'S (NQI's)/ 18 0	Ountry code
1-800-824-5707						.,
1 Recipient's account number (optional)	12 Recipient code	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	w-through entity's	address		
3 PECIDIENTO		']				
city or town, province or state, and country (including p	, street address, ostal code)					
LOYL NANCARDOW	2	20 NQI's/Flov	v-through entity's 1	IN Hame		
THE CHANTRY-50 BAKER STREET		24 DAYERIA		, nany		
THE CHANTRY 50 BAKER STREET POTTERSBAR HERTS ENGLAND ENG 2EB	.783	I ZI PATENS	name and TIN (if d	ifferent from with	holding against	
PAUL NANCARROW THE CHANTRY-50 BAKER STREET POTTERSBAR POTTERSBAR ENGLAND ENG 2EB	017831	LEHMA 13-32	name and TIN (if of N BROTHE	vo HOTD	INGS IN	(C
THE CHANTRY-50 BAKER STREET POTTERSBAR HERTS ENGLAND ENG 2EB	.7831	LEHMA 13-32	N BROTHE 16325 ne tax withheld	vo HOTD	INGS IN	(C

U.S. Income Tax Filing Requirements

Every nonresident and introduced in the conductor of a track or business in the United States income, including income that is effectively connected with the conduct of a track or business in the United States, must file a United States income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident aften fiduciary, or a foreign corporation if such person was not engaged in a track or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of United States tax at the source. (Corporations file Form 1120-F; all others file Form 1040NR or Form 1040NR-EZ if eligible).) You may get the return forms and instructions at any United States Embassy or consulate or by writing to: National Distribution Center, P.O. Box 8903, Bloomington, II.

Tout étranger non-résident, tout organisme fidélcommissaire étranger non-résident et toute société étrangère percevant un revenu aux Etats-Unis, y compris tout revenu dérivé, en fait, du fonctionnement d'un commerce ou d'une affaire aux Etats-Unis, doit soume tire aux Etats-Unis, une déclaration d'impôt sur le revenu n'est exigée d'un étranger non-résident, d'un organisme fidélcommissaire étrange non-résident, du d'une société étrangère s'ils n'ont pris part à aucun commerce ou affaire aux Etats-Unis à aucun moment pendant l'armée fiscale et si les impôts dont ils sont redevables, ont été entièrement acquittée par une retenue à la source, de leur montant. (Les sociétés doivent faire leur déclaration d'impôt en remplissant le formulaire 1120-F; tous les autres redevables doivent remplir le formulaires de déclarations d'impôts et instructions dans toutes les Ambassades et tous les Consulaits des Etats-Unis. L'on peut également s'aciresser pour tous renseignements a: National Distribution Center, P.O. Box 8903, Boomington, IL 61702-8903, U.S.A.

extranjero no residente y toda sociedad anonima extranjera que
Enecha ingreses en los Estades Unidos, incluvendo ingresos.
Enecha ingresos en los Estades Unidos, incluvendo ingresos.

Enecha ingresos en los Estades Unidos de comeval entre de OCUMENT Filed 11/12/10

Pg 9 of Sestados Unidos, deberá presentar una declaración estadounidense de Impuestos sobre ingreso. Sin embargo, no se requiere declaración alguna a un individuo extranjero, una sociedad anónima extranjera u organismo fideicomisario extranjero no residente, si tal persona no ha efectuado comercio o negocio en los Estados Unidos durante el año fiscal y si la responsabilidad con los impuestos de tal persona ha sido satisfecha plenamente mediante retencion del impuesto de los Estados Unidos en la fuente. (Las sociedades anónimas envian la Forma 1120-F; todos los demás contributyentes envian la Forma 1040NR (o la Forma 1040NR-EZ si le corresponde).) Se podrán obtener formas e instrucciones en cualculer Embajada o Consultado de los Estados Unidos o escribiendo directamente a: National Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Jede ausländische Emzelperson, jeder ausländische
Bevollmächtigte und jede ausländische Gesellschaft mit Einkommen
in den Vereinigten Staaten, einschliesslich des Einkommens, weiches
direkt mit der Ausübung von Handel oder Gewerbe innerhalb der
Staaten verbunden ist, müssen eine Einkommensteuereiklärung der
Vereinigten Staaten abgeben. Eine Erklärung, muss jedoch nicht von
Ausländern, ausländischen Bevollmächtigten oder ausländischen
Gesellschaften in den Vereinigten Staaten eingereicht werden, fülls
eine solche Person während des Steuerjahres kein Gewerbe oder
Handel in den Vereinigten Staaten ausgeübt hat und die
Steuerschuld durch Einbehaltung der Steuern der Vereinigten
Staaten durch die Einkommensquelle abgegolten ist. (Gesellschaften
reichen den Vordruck 1120-F ein; alle anderen reichen das Formblatt
1040NR oder wenn passend das Formblatt 1040NR-EZ ein.)
Einkommensteuererklärungen und Instruktionen können bei den
Botschaften und Konsulaten der Vereiningten Staaten eingeholt
werden. Um weitere Informationen wende man sich bitte an: National
Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903,
U.S.A.

Box 6, Exemption code (applies if the tax rate entered in box 5 is 00.00).

Explanation of Codes

Box	1. Incon	ne code.		4thth-fee Evenentian
	Code	Types of Income	Code	Authority for Exemption
Interest	01 02 03 04 05 29 30 31	Interest paid by U.S. obligors—general Interest paid on real property mortgages Interest paid to controlling foreign corporations Interest paid by foreign corporations Interest on tax-free covenant bonds Deposit interest Original issue discount (OID) Short-term OID Substitute payment—interest	01 02 03 04 05 06 07 08 09	Income effectively connected with a U.S. trade or business Exempt under an internal Revenue Code section (income other than portfolio interest) income is not from U.S. sources* Exempt under tax treaty Portfolio interest exempt under an internal Revenue Code section Cualified intermediary that assumes primary withholding responsibility Withholding foreign partnership or withholding foreign trust U.S. branch treated as a U.S. person Cualified intermediary represents income is exempt
Dividend	06 07 08 34	Dividends paid by U.S. corporations—general Dividends qualifying for direct dividend rate Dividends paid by foreign corporations Substitute payment—dividends	Code	. Recipient code. Type of Recipient
Other	09 11 11 12 14 16 17 18 19 22 24 25 27 28 23 35 36 36 36 36 36 36 36 36 36 36 36 36 36	Capital gains Industrial royalities Motion ploture or television copyright royalities Other royalities (e.g., copyright, recording, publishing) Real property income and natural resources royalities Pensions, annuities, alimony, and/or insurance premiums Scholarship or tellowship grants Compensation for independent personal services! Compensation for independent personal services! Compensation for teaching Compensation for teaching Compensation for teaching Compensation for teaching Tompersation by teaching and training! Earlings as an artist or athlete Peal estate investment thus (REIT) distributions of capital gains Trust distributions subject to IRC section 1445 Unsevered growing crops and timber distributions by a trust subject to IRC section 1445 Publicity traded partnership distributions subject to IRC section 1446 Substitute payment—other Notional prinsiper—other income*	10	Individual Corporation Partnership other than withholding foreign partnership? Withholding foreign partnership of withholding foreign trust Trust Government or international organization (Tax-exempt organization (IRC section 501(a)) Private foundation Arist or athlete Estate U.S. branch treated as a U.S. person Qualified intermediary Private arrangement Intermediary withholding rate pool—general Private arrangement intermediary withholding rate pool—secunity organizations Qualified intermediary withholding rate pool—general Qualified intermediary withholding rate pool—secunity organizations Qualified intermedia

If compensation that otherwise would be covered under income Codes 16—19 is directly attributable to the recipient occupation as an artist or athlete, use income Code 20 instead.

If income Code 20 is used, Recipient Code 09 (artist or athlete) should be used instead of Recipient Code 01 (individual), 02 (corporation), or 03 (partnership other than withholding foreign partnership), 18 appropriate interest income Code for embedded interest in a notional principal contract.

Non-U.S. source income received by a nonresident alien is not subject to U.S. tax. Use Exemption Code 03 when entering an amount for information reporting purposes only.

May be used only by a qualified intermediary.

Subject to 30% withholding rate unless the recipient is from one of the treaty countries listed under Gambling winnings (Income Code 28) in Pub. 515.

Explanation of Codes

Box 1, Income code.

		A
- (Code	Types of Income
Interest	01 02 03 04 05 29 30 31 33	Interest paid by U.S. obligors—general Interest paid on real property mortgages Interest paid to controlling foreign corporations Interest paid by foreign corporations Interest on tax-free covernant bonds Deposil Interest Criginal issue discount (OID) Short-term OII Substitute payment—Interest
Dividend	06 07 08 34	Dividends paid by U.S. corporations—general Dividends qualifying for direct dividend rate Dividends baid by foreign corporations Substitute payment—dividends
Other	09 111 123 145 15 17 18 190 225 227 223 235	Capital gains Industrial royalities Industrial royalities Industrial royalities (e.g., copyright, recording, publishing) Other royalities (e.g., copyright, recording, publishing) Real properly income and natural resources royalities Pensions, annuties, alimony, and/or insurance premiums Scholarship or fellowship grainst Compensation for Independent personal services! Compensation for leaching Compensation for feaching Compensation for feaching Compensation for feaching Earnings as an artist or athlete Earnings as an artist or athlete Lead estate investment thist (REIT) distributions of capital gains Trust distributions subject to IRC section 1445 Unsevered graving crops and timber distributions by a trust subject to IRC section 1445 Quanting winnings Notional principal contract income* Substitute payment—other

Box 6. Exemption code (applies if the tax rate entered in box 5 is 00.00).

Code	Authority for Exemption
01 02	Income effectively connected with a U.S. trade or business Exempt under an internal Revenue Code section (income other than
02	nortfolin interesti
03	Income is not from U.S. sources
04	Exempt under tax reaty
05	Qualified intermediary that assumes primary withholding responsibility
06 07 08	Portfolio interest exempt under an internal new to both a consisting Qualified intermediary that assumes primary withholding responsibility withholding foreign partnership or withholding foreign trust U.S. branch realed as a U.S. person
ŏä.	U.S. branch treated as a U.S. person
09	Qualified intermediary represents income is exempt
Box 12	t, Recipient code.
Code	Type of Recipient
01	Individual ²
Ŏ2	
63	
04	Withholding foreign partnership of withholding loves.
05	Trust Government or international organization
00 07	Tax-exempt organization (IRC section 501(a)) Private loundation
ŏ8	Private foundation
09	Artist or athlete
06 07 08 09 10 11 12 13	Estate U.S. branch treated as a U.S. person
13	Qualified intermediaty
13	
14	Private arrangementalitetimedialy manifestary
45	exempt organizations' Qualified intermediary withholding rate pool—general' Qualified intermediary withholding rate pool—general'
15 16 17 18	Chialited lifetillediff A Militinguid rete boat avenue.
17	Aumorized foreign agein
18	Public pension fund
20	Unknown recipient

If compensation that otherwise would be covered under income Codes 16—19 is directly attributable to the recipients occupation as an artist or athlete, use income Code 20 instead.

If income Code 20 is used, Recipient Code 09 (artist or athlete) should be used instead of Recipient Code 01 (individual), 02 (corporation), or 03 (partnership other than withholding foreign partnership), use appropriate interest income Code for embedded interest in a notional principal contract.

Non-U.S. source income received by a nonresident atien is not subject to U.S. tex. Use Exemption Code 03 when entering an amount for information reporting purposes only.

May be used only by a qualified informedian.

May be used only by a qualified information one of the treaty countries listed under Gambling winnings (Income Code 28) in Pub. 515.

Form 1042-S Foreign Person's \$\text{\$\	Recipient
Department of the Treasury Internal Revenue Service AMENDED PRO-RATA BASIS REPORTING Attach to any state t	Recipient
code allowances allowances of the following specific property of the code of t	
9 Withholding and Fin	epaid to
+3 3100302 174 Recipient's 11 C Tist is	
X EIN QI-EIN X SSN or ITIN EIN	QI-EIN
The Bank of New York UNKNOWN COUNTRY	try code
P.O. BOX 7090 TROY MI 48007 17 NONQUALIFIED INTERMEDIARY'S (NQI's)/ FLOW-THROUGH ENTITY'S name 18 Coun	try code
(800) 709-1649	
11 Recipient's account number (optional) 12 Recipient code	
13 RECIPIENT'S name(first name, initial, and last name), street address, city or town, province or state, and country (including postal code) 20 NQI's/Flow-through entity's TIN, if any	
PAUL NANCARROW THE CHANTRY)
50 BAKER STREET	
Potters Bar # EN6 2EB 22State income tax withheld 23 Payer's state tax no. 24 Name of the company of the compa	of state

U.Sodnesme Tax Filing Paguirements 1/12/10 Every nonresident alien individual, nonresident alien fiduciary, and foreign 1 corporation with United States income, including income that is effectively

Every nonresident alien individual, nonresident alien induciary, and uniques 11 corporation with United States income, including income that Is effectively connected with the conduct of a trade or business in the United States, must file a United States income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien fiduciary, or a foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of United States tax at the source. (Corporations file Form 1120-F; all others file Form 1040NR (or Form 1040NR-EZ if eligible).) You may get the return forms and instructions at any United States Embassy or consulate or by writing to: Eastern Area

Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Tout étranger non-résident, tout organisme fidéicommissaire étranger non-résident et toute société étrangère percevant un revenu aux Etats-Unis, y compris tout revenu dérivé, en fait, du fonctionnement d'un commerce ou d'une affaire aux Etats-Unis, doit soumettre aux Etats-Unis, une déclaration d'impôt sur le revenu. Cependant aucune déclaration d'impôt sur le revenu n'est exigée d'un étranger non-résident, d'un organisme fidéicommissaire étrange non-résident, ou d'une société étrangère s'ils n'ont pris part à aucun commerce ou affaire aux Etats-Unis à aucun moment pendant l'année fiscale et si les impôts dont ils sont redevables, ont été entièrement acquittés par une retenue à la source, de leur montant. (Les sociétés doivent faire leur déclaration d'impôt en remplissant le formulaire 1120-F; tous les autres redevables doivent remplir le formulaire 1040NR (ou 1040NR-EZ si éligible).) On peut se procurer formulaires de déclarations d'impôte et instructions dans toutes les Ambassades et tous les Consulats des Etats-Unis. L'on peut également s'adresser pour tous renseignements a: Eastern Area Distribution Center, P.O. Box 8903, Bioomington, IL 61702-8903, U.S.A.

Explanation of codes

Box 1. income code.

WUX I	
Code	interest Income
01	Interest paid by U.S. obligors-general
02	Interest on real property mortgages

- 03 Interest paid to controlling foreign corporations
- 04 Interest paid by foreign corporations
- 05 Interest on tax-free covenant bonds
- 29 Deposit interest
- 30 Original issue discount (OID)
- 31 Short-term OID
- 33 Substitute payment interest

Dividend Income

- 06 Dividends paid by U.S. corporations--general
- 07 Dividends qualifying for direct dividend rate
- O8 Dividends paid by foreign corporations
- 34 Substitute payment-dividends

Other Income

- 09 Capital gains
- 10 Industrial royalties
- 11 Motion picture or television copyright royalties
- 12 Other royalties (e.g. copyright, recording, publishing)
- 13 Real property income and natural resources royalties
- 14 Pensions, annuities, alimony, and/or insurance premiums
- 15 Scholarship or fellowship grants
- 16 Compensation for independent personal services
- 17 Compensation for dependent person services
- 18 Compensation for teaching
- 19 Compensation during studying and training
- 20 Earnings as an artist or athlete
- 24 Real estate investment trust (REIT) distributions of capital gains
- 25 Trust distributions subject to IRC section 1445
- 26 Unsevered growing crops and timber distributions subject to IRC section 1445
- 27 Publicly traded partnership distributions subject to IRC section 1446
- 28 Gambling winnings
- 32 Notional principal contract income
- 35 Substitute payment--other
- 36 Capital gains distributions
- 50 Other income

If compensation that other wise would be covered under income Codes 16-19 is directly attributable to the recipient's occupation as an artist or athlete, use income Code 20 instead.

organizations

Authorized foreign agent

Public pension fund

Unknown recipient

17

18

20

instead.

If Income Code 20 is used, Recipient Code 09 (artist or athlete) should be used instead of Recipient Code 01 (individual), 02 (corporation), or 03 (partnership other than unitable life foreign pertnership).

withholding foreign partnership).
Use appropriate interest income Code for embedded interest in a notional principal contract. Non-U.S. source income received by a nonresident alien is not subject to U.S. tax. Use expressing of the contract interest income received by a nonresident alien is not subject to U.S. tax. Use Example 20 of the contract interest in a notional principal contract. Non-U.S. source income received by a nonresident alien is not subject to U.S. tax. Use Example 20 of the contract interest in a notional principal contract. Non-U.S. source income received by a nonresident alien is not subject to U.S. tax. Use

May be used only by a qualified intermediary. Subject to 30% withholding rate unless the recipient is from one of the treaty coutries listed under Gabling winnings (income code 28) in Pub. 516.

Entered and 1017/12 de 10, 55 2 denis Main Danis mantro no residente y toda sociedad anónima extranjera que reciba ingresos en los Estados Unidos, incluyendo ingresos relacionados con la conducción de un negocio o comercio dentro de los Estados Unidos, deberá presentar una declaración estadounidense de impuestos sobre ingreso. Sin embargo, no se requiere declaración alguna a un individuo extranjero, una sociedad anónima extranjera u organismo fideicomisario extranjero no residente, si tal persona no ha efectuado comercio o negocio en los Estados Unidos durante el año fiscal y si la responsabilidad con los impuestos de tal persona ha sido satisfecha plenamente mediante retencion del impuesto de los Estados Unidos en la fuente. (Las sociedades anónimas envian la Forma 1120-F; todos los demás contribuyentes envian la Forma 1040NR (o la Forma 1040NR-EZ si le corresponde).) Se podrán obtener formas e instrucciones en cualquier Embajada o Consulado de los Estados Unidos o escribiendo directamente a: Eastern Area Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Jede ausländische Einzelperson, jeder ausländische Bevollmächtigte und jede ausländische Gesellschaft mit Einkommen in den Vereinigten Staaten, einschliesslich des Einkommens, weiches direkt mit der Ausübung von Handei c Gewerbe innerhalb der Staaten verbunden ist, müssen eine Einkommensteuererklärung der Vereinigten Staaten abgeben. Eine Erklärung, ma jedoch nicht von Ausländern, ausländischen Bevolimächtigten oder ausländisch-Gesellschaften in den Vereinigten Staaten eingereicht werden, falls eine solche Person während des Steuerjahres kein Gewerbe oder Handel in den Vereinigten Staaten ausgeübt hat und die Steuerschuld durch Einbehaltung der Steuern der Vereinigten Staaten durch die Einkommensquelle abgegoiten ist. (Gesellschafte reichen den Vordruck 1120-F ein; alle anderen reichen das Formblatt 1040NR c wenn passend das Formblatt 1040NR-EZ ein.) Einkommensteuererklärungen un Instruktionen können bei den Botschaften und Konsulaten der Vereiningten Staaten eingeholt werden. Um weitere Informationen wende man sich bitte an: Eastern Area Distribution Center, P.O. Box 8903, Bloomington IL, 61702-8903 U.S.A.

Box 6. Exemption code (applies if the tax rate entered in box 5 is 00.0

Code	Authority for Exemption									
01	Income effectively connected with a U.S. trade or business									
02	Exempt under an Internal Revenue Code section (income of									
than p	than portfolio interest)									
03	Income is not from U.S. sources									
04	Exempt under tax treaty									
05	Portfolio interest exempt under an Internal Revenue Code sect									
06	Qualified intermediary that assumes primary withholding									
respon	nsibility									
07	Withholding foreign partnership or withholding foreign trust									
08	U. S. Branch treated as a U.S. person									
09	Qualified intermediary represents income is exempt									
Box 1	2. Recipient code.									
Code	Type of Recipient									
01	Individual									
02	Corporation									
03	Partnership other than withholding foreign partnership									
04	Withholding foreign partnership or withholding foreign trust									
05	Trust									
06	Government or international organization									
07	Tax-exempt organization (IRC section 501(a))									
80	Private foundation									
09	Artist or athlete									
10	Estate									
11	U.S. branch treated as U.S. person									
12	Qualified intermediary									
13	Private arrangement intermediary withholding rate poolger									
14	Private arrangement intermediary withholding rate pool									
exempt organizations										
15	Qualified intermediary withholding rate poolgeneral									
16	Qualified intermediary withholding rate poolexempt									

08-13555-mg Form 104 2	- 1		L/12/10 Ei on'용인/홍.여 /ithholding	ntere 553 r	ed 11/17 ' ce Inco	7/10 10 me	:55: 2(24 Ma 006	in D	OCUMENT No. 1545-0096
Department of the Tri internal Revenue Servi	•	AMENDED 3 Withholding	4 Net income		PRO-RATA	BASIS	REPO	ORTING	Attacl	py Dfor Recipient
06	297.44 gent's EIN 13-	allowances		Tar	0.15	Code		7 U.s. federa withheld 44.		8 Amount repaid to recipient
X EIN 10 WITHOLDING The Bank	G AGENT'S name a of New Yor ROTHERS ESE	QI-EIN ind addres (inclu	ding Zip code)	15	X SSN Recipient's c UNITED	or ITIN country of (KINGI ED INTERN	resider	9100031 EIN nce for tax p	urpose	UK
TROY MI 4	18007	onal) 112	Recipient code		LOW-THROU	IGH ENIIT	Y'S na	ame		18 Country code
13 RECIPIENT'S n	ame(first name, in rovince or state, ar		·	20 N	Qi's/Flow-th	rough entit	ty's Ti	N, if any		
PAUL NANC. THE CHANT: 50 BAKER:	RY STREET			21 P.	AYER'S name	e and TIN	(if diff	erent from w	ithhold	ling agent's)
Potters Ba	ar # EN6 2	EB		22Sta	ate income ta	x withheld	23 Pa	ayer's state t	ax no.	24 Name of state

U.S. Income Tax Filing Requirements 11/12/10 Entered 11/17/10 10:55:24 . Main Document Every nonresident alien individual, nonresident alien fiduciary, and foreign g 13 Ofo53extranjero no residente, todo organismo fideicomisario extranjero no

corporation with United States income, including income that is effectively connected with the conduct of a trade or business in the United States, must file a United States income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien fiduciary, or a foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of United States tax at the source. (Corporations file Form 1120-F; all others file Form 1040NR (or Form 1040NR-EZ if eligible).) You may get the return forms and instructions at any United States Embassy or consulate or by writing to: Eastern Area

Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Tout étranger non-résident, tout organisme fidéicommissaire étranger non-résident et toute société étrangère percevant un revenu aux Etats-Unis, y compris tout revenu dérivé, en fait, du fonctionnement d'un commerce ou d'une affaire aux Etats-Unis, doit soumettre aux Etats-Unis, une déclaration d'impôt sur le revenu. Cependant aucune déclaration d'impôt sur le revenu n'est exigée d'un étranger non-résident, d'un organisme fidéicommissaire étrange non-résident, ou d'une société étrangère s'ils n'ont pris part à aucun commerce ou affaire aux Etats-Unis à aucun moment pendant l'année fiscale et si les impôts dont ils sont redevables, ont été entièrement acquittés par une retenue à la source, de leur montant. (Les sociétés doivent faire leur déclaration d'impôt en remplissant le formulaire 1120-F; tous les autres redevables doivent remplir le formulaire 1040NR (ou 1040NR-EZ si éligible).) On peut se procurer formulaires de déclarations d'impôts et instructions dans toutes les Ambassades et tous les Consulats des Etats-Unis. L'on peut également s'adresser pour tous renseignements a: Eastern Area Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Explanation of codes

Box 1, income code.

interest Income Code

Interest paid by U.S. obligors-general 01 Interest on real property mortgages

02 interest paid to controlling foreign corporations 03

Interest paid by foreign corporations 04

Interest on tax-free covenant bonds 05

29 Deposit interest

Original issue discount (OID) 30

Short-term OID 31

Substitute payment - interest 33

Dividend Income

Dividends paid by U.S. corporations--general 06

Dividends qualifying for direct dividend rate 07

Dividends paid by foreign corporations 08

Substitute payment-dividends 34

Other income

Capital gains 09

Industrial royalties 10

Motion picture or television copyright royalties 11

Other royalties (e.g. copyright, recording, publishing) 12

Real property income and natural resources royalties 13

Pensions, annuities, alimony, and/or insurance premiums 14

Scholarship or fellowship grants 15

Compensation for independent personal services 16

Compensation for dependent person services 17

Compensation for teaching 18

Compensation during studying and training 19

Earnings as an artist or athlete 20

Real estate investment trust (REIT) distributions of capital gains 24

Trust distributions subject to IRC section 1445 25

Unsevered growing crops and timber distributions subject to 26 IRC section 1445

Publicly traded partnership distributions subject to 27 IRC section 1446

Gambling winnings 28

Notional principal contract income 32

35 Substitute payment--other

Capital gains distributions 36

of the recipient's accupation as an artist or athlete, use Income Codes 16-19 is directly attributable to the recipient's accupation as an artist or athlete, use Income Code 20 if compensation that other wise would be covered under Income Codes 16-19 is directly attributable to the recipient's accupation as an artist or athlete, use Income Code 20 50

18

20

insteed.
If Income Code 20 is used, Recipient Code 09 (artist or athlete) should be used instead of Recipient Code 01 (individual), 02 (corporation), or 03 (partnership other than

withholding foreign partnership).
Use appropriate Interest Income Code for embedded interest in a notional principal contract. Non-U.S. source income received by a nonresident alien is not subject to U.S. tax. Use Exemption Code 03 when entering an amount for information reporting purposes only.

Subject to 30% withholding rate unless the recipient is from one of the treaty coutries listed under Gabling winnings (Income code 28) in Pub. 515.

Estados Unidos, incluyendo ingresos relacionados con la conducción de un negocio o comercio dentro de los Estados Unidos, deberá presentar una declaración estadounidense de impuestos sobre ingreso. Sin embargo, no se requiere declaración alguna a un individuo extranjero, una sociedad anónima extranjera u organismo fideicomisario extranjero no residente, si tal persona no ha efectuado comercio o negocio en los Estados Unidos durante el año fiscal y si la responsabilidad con los impuestos de tal persona ha sido satisfecha plenamente mediante retencion del impuesto de los Estados Unidos en la fuente. (Las sociedades anónimas envian la Forma 1120-F; todos los demás contribuyentes envian la Forma 1040NR (o la Forma 1040NR-EZ si le corresponde).) Se podrán obtener formas e instrucciones en cualquier Embajada o Consulado de los Estados Unidos o escribiendo directamente a: Eastern Area Distribution Center, P.O. Box 8903, Bloomington, IL 61702-8903, U.S.A.

Jede ausländische Einzelperson, jeder ausländische Bevollmächtigte und jede ausländische Gesellschaft mit Einkommen in den Vereinigten Staaten, einschliesslich des Einkommens, welches direkt mit der Ausübung von Handel o Gewerbe innerhalb der Staaten verbunden ist, müssen eine Einkommensteuererklärung der Vereinigten Staaten abgeben. Eine Erklärung, mu jedoch nicht von Ausländern, ausländischen Bevollmächtigten oder ausländische Gesellschaften in den Vereinigten Staaten eingereicht werden, falls eine solche Person während des Steuerjahres kein Gewerbe oder Handel in den Vereinigten Staaten ausgeübt hat und die Steuerschuld durch Einbehaltung der Steuern der Vereinigten Staaten durch die Einkommensquelle abgegolten ist. (Gesellschafte reichen den Vordruck 1120-F ein; alle anderen reichen das Formblatt 1040NR o wenn passend das Formblatt 1040NR-EZ ein.) Einkommensteuererklärungen un Instruktionen können bei den Botschaften und Konsulaten der Vereiningten Steaten eingeholt werden. Um weitere Informationen wende man sich bitte an: Eastern Area Distribution Center, P.O. Box 8903, Bloomington IL, 61702-8903 U.S.A.

Box 6. Exemption code (applies if the tax rate entered in box 5 is 00.0

Code	Authority for Exemption							
01	Income effectively connected with a U.S. trade or business							
02 Exempt under an Internal Revenue Code section (income oth								
	portfolio interest)							
03	Income is not from U.S. sources							
04	Exempt under tax treaty							
05	Portfolio interest exempt under an Internal Revenue Code sect							
06	Qualified intermediary that assumes primary withholding							
respo	nsibility							
07	Withholding foreign partnership or withholding foreign trust							
80	U. S. Branch treated as a U.S. person							
09	Qualified intermediary represents income is exempt							
	2. Recipient code.							
Code	Type of Recipient							
01	Individual							
02	Corporation							
03	Partnership other than withholding foreign partnership Withholding foreign partnership or withholding foreign trust							
04								
05	Trust							
06	Government or international organization							
07	Tax-exempt organization (IRC section 501(a))							
08	Private foundation							
09	Artist or athlete							
10	Estate							
11	U.S. branch treated as U.S. person							
12	Qualified intermediary							
13	Private arrangement intermediary withholding rate poolge							
14	Private arrangement intermediary withholding rate pool							
	npt organizations							
15	Qualified intermediary withholding rate poolgeneral							
16	Qualified intermediary withholding rate poolexempt							
	nizations							
17	Authorized foreign agent							

Public pension fund

Unknown recipient

Dividends and Distributions

OMB NO. 1545-0110

NEW YORK :58

NEW YORK, NY 10286-1258

EIN 13-5160382 TOLL FREE: 1-800-824-5707 HTTP://WWW.STOCKBNY.COM

15. 15.		Recipient's HOLD:	PAYER'S Federal identification number	MMON STOCK
2d. Collectibles (28%) gain 3. Nontaxable distributions \$0.00 \$0.00 7. Foreign country or U.S. 6. Ceep limited.	93	1b. Qualified Dividends	13-3216325	2b. Urracan Santan
7. Foreign country or U.S. 8. Ceeb limited 50.00		\$45.55 2d. Collectibles (28%) gain	\$0.00 3. Nontaxable distributions	\$0.00
	- 	7. Foreign country or U.S.	\$0.00 6. Cash liquidation distributions	\$0.00

IUL NANCARROW IE CHANTRY) BAKER STREET ITTERS BAR HERTS ENG 2EB ITED KINGDOM

important tax information and is being furnished to the Internal Revenue Service, are required to file a return, a negligence penalty or other sanction may be imposed if this income is taxable and the IRS determines that it has not been reported.

5

TAX YEAR 2007 **FORM** 1099-DIV

FOR

Dividends and Distributions

0001511043

OMB NO. 1545-0110

NEW YORK, NY 10286-1258

roor

EIN 13-5160382 TOLL FREE: 1-800-824-5707 HTTP://WWW.STOCKBNY.COM

LEHMAN BROTHERS HOLDI		MMON STOCK	
identification number	PAYER'S Federal Identification number		
46.	13-3216325	<u> </u>	
1b. Qualified Dividends	2e. Total Capital Gain Distr.	2b. Unrecap. Sec. 1250 gain	
\$45.27	\$0.00	40.00	
2d. Collectibles (28%) gain	Nontaxable distributions	4. Federal income tax withheld	
\$0.00	\$0.00		
7. Foreign country or U.S. possession	6. Cash liquidation distributions	\$12.67 9. Noncash liquidation distributions	
	\$0.00		

L NANCARROW CHANTRY-50 BAKER STREET TERSBAR HERTS LAND ENG 2EB

FOR TAX YEAR

harles SCHWAB INSTITUTIONAL

Schwab One® International Account of PAUL NANCARROW & ALISON MCLELLAN TEN/COM

Account Number 6586-4221

Statement Period August 1-31, 2008

	Year to Date Account Value (\$) Over I set 12 Mouth 1:		10,590.00 160 00)	(577.28) 100	,131.67 75	0.00	0 25	3.34 9/07 10/07 11/07 12/07 1/08 2/08 3/08 4/08 5/08 6/08 7/08 8/0	
hange in Account Value	Tils Period	ales (287,00)	sed/Sold 287.99 (1)	(50.82)	0.00		36.37) (101	\$ 43,553.34 lue \$ (2,136.37) \$ (

Č	8 8 g	Cong F	Value
Overview			26% MMFs [Sweep]
iet Composition Market Value % of Account Assets	tal Assets Long \$ 43,553.34 \$ 74%		

74% Equities

es Schwab & Co.. Inc. All rights reserved. Member SIPC. Schwab Institutional is a division of Charles Schwab & Co.. Inc ("Schwab").

Page 2 of 7

"Schwab & Co., Inc ("Schwab").

---- 48803

25 SCHWAB INSTITUTIONAL Schwab One® International Account of PAUL NANCARROW & ALISON MCLELLAN TEN/COM

Account Number 6586-4221

August 1-31, 2008 Statement Period

Yield 1.66%

Assets MMF

Monitor

Endnotes For Your Account......6 Terms and Conditions.....7

> -12.97% -12.64%

-11.87%

rd & Poor's 500 Index® nes Industrial Average

AQ Composite Index

b 1000 Index®

Change

Year To Date

Investment Detail......3

Income Summary......

Transaction Detail......

Change in Account Value......2

Table of Contents

The custodian of your brokerage account is: Charles Schwab & Co., Inc.

Gain or (Loss) Summary......2

Asset Composition.....

Page

NAILED KINGDOM PAUL NANCARROW & PLISON MOLELLAN TEN/COM POTTERS BAR, EN62EB PERTS
TINITED KINCON

Harles SCHWAB INSTITUTIONAL

Schwab One® International Account of PAUL NANCARROW & ALISON MCLELLAN TEN/COM

Account Number 6586-4221

August 1-31, 2008 Statement Period

vestment Detail - Equities

### Please provide 10/29/01 (2,0498.71) ### Please provide 10/29/01 N/A 2498 #### Equities	39.7509 29.6979' 1,180.52 (4% (2,590.14) 4.22%	16.0900	Ouantity Market Price Market Value Assets Gain or (Loss) Yield	Accounting Method Equities: First In First Out [FIFO]
--	--	---------	--	---

Provi			_
13/3		1.0	
िच	•	1.5	
::00		1.0	≃.
·		1.3	· .
	3	- 136	~
100		- 60	
188		- 10	un:
2.54		100	n.
1	2.5	130	18.
1.2		- 100	က
- 3		188	₹*
1, 75%		1.8	
1000		913	
2.00		1.0	-
1.7327		4.00	
1.0320		100	1111
20000	200	1.00	
1000	100	100	
19,7500	100		
1233	25.5	100	
1,000,00		1.30	
377	0.5	100	
100000	100		
100000	255	1000	
13333	33	1000	
1000000			2000
1.000	93	100	
	33		n I
	**	1 9	y ::
1 20	90	1300	4
1 7	(S)	10.2	: 1
<u>υ</u>	80]°.₩	3 1
1.0	Ø.	18.5	3 3 T
190990	ä.	10. C	: 4
· ·	23	l 😕	. 1
1	8	[18] 厚	
1: 43	8ê	100	10.3
	×		
1 C C	8 I		100
200	e e		
ംഗ	3 (
ii.	8		
. 5	3		ંા
○ □	8 I	-	334
	5 I	୍ୟ	
200	: 1		74 L
37 2 3.	6 P	ಂ	34
A	1	-	: 1
	: I	* ·	-1
	. 8		6.4
	10		<i>::</i>
4.30	- 15		33 1 -
	- 0		::I
	- 16	30.00	8
	- 0		(F)
	- 13		ŝ:i
440.00	- 10		723
	- 13		3 1
	- 10	130.03	8
	- 10		81
A	1.00		á.
	- 13		ା
360 370	- 1.0		1
460, 300,	- 13		1
	- 1		ी
9239.93	- 100		3
1000	- 100		ł
	100		1
33.00			1
888.436	1.00	300	1
		33.00	1
100	100		ł
angan nan	233		,
20.00	100	200	1
			ł
State.	6.8		
000000	100		l
		S. 1	
	1300	0000	1
	100	2000	
1900000	F	ं	
96993	100	ംപ	
	100	ા	

Isaction Detail - Purchases & Sales

ies Activity

	Total Amount	(287.99)
	Unit Price 13.6500	
	Quantity 21.0982	
	OLDING INC: LEH	
Description	ROS H	
Fransaction	Reinvested Shares	663
Date Trade Date Transaction	38 08/26/08 al Equities Activity	Purchases & Sales

has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.

ries Schwab & Co., Inc. All rights reserved. Member SIPC. Schwab Institutional is a division of Charles Schwab & Co., Inc ("Schwab"), 2002-240) STP25265R1-02

Page 4 of 7

(287.99)

Schwab One® International Account of ALISON MCLELLAN TEN/COM PAUL NANCARROW &

> es SCHWAB INSTITUTIONAL

Account Number 6586-4221

Statement Period August 1-31, 2008

	Federally Taxable 1,131.67 1,131.67	% of Account Assets	792	
Year to Date		Current Yield	/000	1.65%
	Federally Tax-Exempt 0.00		Market value	11,146,45 ††,146,45
	Federally Taxable 354.22 354.22		Market Price	1.0000
	This Period	[də	Quantity	11,146.4500
	Federally Tax-Exempt 0.00	nent Detail - Money Market Funds [Sweep]		[dae
	Summary lends ome	nent Detail - Money		Market Funds [Sweep] LIQ. ASSETS FUND: USD2Z Money Market Funds [Sweep]

Page 3 of 7

CT8A2001-001082 48805

arles schwab INSTITUTIONAL

Schwab One® International Account of PAUL NANCARROW & ALISON MCLELLAN TEN/COM

Account Number 6586-4221

May 1-31, 2008 Statement Period

estment Detail - Equities

estment Detail - Equities	i				Accounti	Accounting Method	
				77 /0	Equires:	equities: First in First Out [FIFO]	t [FIFO]
	Quantity	Market Price	Market Value	% or Account Assets	Unrealized	Unrealized Estimated	Estimated
nes	Units Purchased Cost F	Cost Per Share	Cost Basis Acquired	Acquired	(600) 10	11-11-1	Annual Income
MAN BROS HOLDING INC ♀	1 993 0028	26 0400				riolaing Day	Holding Period
BOL: LEH	0400.000	20.010	73,362.43	87%	(1,123.69)	1.84%	1.355.24
	53.4238	50.1807	1.175.53		(010 00)	***************************************	
	49.8333	53.0735	0 844 00		(313.62)		Short-Term
	9677 7496		2,044.00		(810.47)		Long-Term
	90477706	4 /2	please provide	10/29/01	N/A	0400	
	340.0000	A/N	object oscala		E /Al	2406	Long-Term
	622 0000	V/14	Picco provide	20/11/00	A/N	1810	Long-Term
2000	000110		please provide	05/02/07	N/A	300	· · · · · · · · · · · · · · · · · · ·
Dasis			00000		۲ <u>۶</u>	CRS	Long-lerm

1,355.24

(1,123.69)

87%

73,362,43

at Equities

3,820.36

ated Annual Income and yield calculations are for informational purposes only and are not a projection or guarantee of future dividends.

#2000000000	
000000000	9993333
CV.	l cu
	1000
	84,514.0
	514.
100	(4A)
	100
12	200
- A	2
37500000	
0.00000000	0.000000
00000000	100000000
1,000,000,000	0.00000000
	6.0000000
10000000	2000000
3333333	
33333333	8880000
	20000000
1,000,000	20000000
100000000	3333
1000000000	0.000000000
6,000,000	500000000000000000000000000000000000000
1000000	0.000000
1 00	100 (3 00)

200	(A)
1 5	
	30.000 X
0.0000000000000000000000000000000000000	20,000,000
	100 E
2 X X	8
in the second	1 0 1
22.00	\$
Sec. 25	
	100,000
88.000	100 400 000
	E O 1
100 CO	
20.00	68.6886.63
	100000000
000000000000000000000000000000000000000	200000000000000000000000000000000000000
	33.333.33
000000000000000000000000000000000000000	100000000
20000000	S0000000000000000000000000000000000000
823323	20000000
0.00000000	3000000
	100000000000000000000000000000000000000
200000000	58.3333
9000000000	533333333
335383334	660 833333
33000000000	10000000000
30000002	2000000000
00000000	9000000000
380,33333	600000004
200000000	100000000
38533333	330000000000000000000000000000000000000
1000000000	200000000000000000000000000000000000000
	330,000
190000000	100000003
88888	
034000000	60000000000000000000000000000000000000
2000000	F888888
200000000	
38033883	P00000000
12000000000000000000000000000000000000	333333
800885	23333334
200000000000000000000000000000000000000	
8833888	
38030000	(33)3333
	100000000
********	200000000000000000000000000000000000000

saction Detail - Purchases & Sales

ies Activity

•	lotal Amount	(286.83)	(286.83)	
		35.9300		
Ottantity	7 0000	7.9030		
Description	Reinvested Shares LEHMAN BROS HOLDING INC: LEH			
Iransaction	Reinvested Shares			26
Date Trade Date Transaction	05/27/08		HES ACTIVITY	irchases & Sales
Date	88			Purc

) has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. see "Endotes for Your Account" section for an explanation of the endnote codes and symbols on this statement. CT5A2001-001049 44386

20 & Co., Inc. All nonts reserved. Member SIPC. Schwab Institutional is a division of Charles Schwab & Co., Inc (

Page 4 of 7

(286.83)

5. 7.75

Schwab One® International Account of

Account Number

Statement Period May 1-31, 2008

6586-4221 may 1-51, 2000 Year to Date	Federally Tax-Exempt Federally Taxable 745.00 0.00 745.00
1/CS SCHWAB PAUL NANCARROW & ALISON MCLELLAN TEN/COM ALISON MCLELLAN TEN/COM	This Period Federally Tax-Exempt Federally Taxable 355.27
1/es schwab Institutional	e Summary idends

% of Account	Current Yield Assets	1.82% 13%
	Market Value Curren	11,151.59 11,151.59 11,151.58
	Market Price	1.0000
[Sweep]		11,151.5900
Social . Money Market Funds [Sweep]	ment Detail - mores	Market Funds [Sweep] S\$ LIQ. ASSETS FUND: USD2Z I Money Market Funds [Sweep]

Money Market Funds [Sweep]

Page 3 of 7

Harles SCHWAB INSTITUTIONAL

Schwab One® International Account of ALISON MCLELLAN TEN/COM PAUL NANCARROW &

Account Number 6586-4221

Statement Period May 1-31, 2008

	Account Value (\$) Over Last 12 Months [in Thousands]	210 175 140 70 70 35 0 6/07 7/07 8/07 10/07 11/07 12/07 1/08 2/08 3/08 4/08 5/08
This Period	6	286.83 (10,877.99) (50.62) (473.46) (50.62) (473.46) (50.00 0.00 0.00 0.00 0.00 0.00 (304.65) (637.54) (637.54) (537.54) (544.37.03) (50,990.74) (514.437.03) (50,990.74)
hange in Account Value	ales	Deposits & Withdrawals Deposits & Withdrawals Dividends & Interest Ges & Charges Transfers Icome Reinvested Thange in Value of Investments Ging Value on 05/31/2008 That Change in Account Value S 84,

Overview	
t Value % of Account Assets	\$ 84,514.02 \$ 84,514.02 \$ 84,514.02
Market Value	5.84,514.02 \$ 84,514.02
Composition Aarket Funds [Sweep	Account Value \$84,514.02 100%

Gain or (Loss) Summary Realized Gain or (Loss) This Period	Unrealized Gain or (Loss)	All Investments Values may not reflect all of your gains/losses.
--	---------------------------	--

13% MMFs [Sweep]

87% Equities

has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete illable for some of your holdings.

Here "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

ufes Sterm & Co., Inc. All rights reserved. Member SIPC. Schwab Institutional is a division of Charles Schwab & Co., Inc.

Page 2 of 7

Page

Change in Account Value

Asset Composition..... Gain or (Loss) Summary..... Investment Detail.....

Transaction Detail.....

Income Summary.....

Endnotes For Your Account...... Money Funds Detail.....

The custodian of your brokerage account is: Charles Schwab & Co., Inc.

Table of Contents

Statement Period May 1-31, 2008

6586-4221

Account Number

PAUL NANCARROW &

ALISON MCLELLAN TEN/COM

HINTE STREET

INSTITUTIONAL

PAUL NANCARROW &

30/05-CT5A2001-001049-SML-00000000000 44383

Yield

.82%

3\$ Liq Assets MMF

arket Monitor

Change

4.72%

4.63%

andard & Poor's 500 Index® w Jones Industrial Average

SDAQ Composite Index

hwab 1000 Index®

Year To Date

ALISON MCLELLAN TEN/COM POTTERS BAR, EN62EB POTTERS BAR, EN62EB POTTERS BAR, EN62EB

Terms and Conditions.....7

ПИІТЕР КІИВРОМ

CT5A2001-001049 44383

SIPC

Page 1 of 7

Schwab One® International Account of ALISON MCLELLAN TEN/COM PAUL NANCARROW &

Account Number 6586-4221

~www.10490304*

May 1-31, 2008 Statement Period

Credit/(Debit)

Fransaction Detail - Deposits & Withdrawals

		Location	
thdrawals	Description	HMAN BROS HOLDING INC	
an - Deposits & Wi	Activity	ithdrawats	
ransaction Process	5/23/08 05/23/08	Total Deposits & W	

(50.62)		Credit/(Debit)	17.82
	y warret rund dividends reinvested)	22	17.82 337.45
& Interest (including Money Mar	Description	1	TO TOTAL INC: LEH
ransaction Detail - Dividends & Interest (including N	te Date Activity 15/08 05/15/08 Division	23/08 05/23/08 Qual Div Reinvest	m cumends & inferest

355.27	Jetail		787
	Total Transaction		
	: : : :	ds Detail	

US\$ LIQ. ASSETS FUND Activity ney Fund

	Quantity
Date Transaction	ing # of Shares: 11,133,7700 (08 Dividend

1g # of Shares: 11,151.5900

17.8200 1.0000 17.82		17.82
1.0000		
17.8200		
1		
SETS FUND Acto		=
al SCH US\$ LIQ. AS	Money Funds Dain	Discrete .

17.82

Sale/Credit

Purchase/Debit

Unit Price

17.8200

has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.

fes Schwab & Co., Inc. All rights reserved. Member SIPC. Schwab Institutional is a division of Charles Schwab & Co., Inc ("Schwab").

Page 5 of 7

A DIRECT PO 08-13	ineks holdings in 555-mg Doc 555-mg	128 05 Fil	ed 11/12/10 STATEM អ្នក <u>។</u> ឧ	Entered	11/17/10	Newark, NJ Answers to	07101-9774	SE	EQ# 01 000554
ı	000554 XB461101					Website at:	http://stockh.	Bank of New Yor	k's
P	AUL NANCARROW HE CHANTRY-50 BA	VEB STORES				Email at: 9	hareowners@	bankofny.com	
	OTTERSBAR HER NGLAND ENG 2EB					Company N Account Nui Record Date	umber 46	611 000406298	
						Payment Da	02	2/15/08 2/22/08	
<u></u>						Maxt Muticiba	ated Investmen	it Date WEEKL	Y
	Plan Record [Date Position	CURRENT DIVIDE	ND PURCHAS	E INFORMATI	ON			
Held By You in Ce Or Direct Regis		By Plan nistrator	Total	Rate	Gross Pa	Dollars id	Service Fees	Tax	Net Dollar
94	6.8	8176	100.8176	.1700		17.14	.00	Withheld	Reinveste
Transaction Date			YEAR-TO-D	ATE TRANSAC	TIONS			4.7	9 1:
	RALANCE FOR	Transaction Descript	on		tion Dollars	Price per Sh	are Transact	ion Shares Total S	Shares Held By Admin
02/22/08 02/22/08	BALANCE FORM COMMON DIVID PLAN DIVIDEN	END			11.51 .84	53.651 53.651	_	.2145	6.8
									7.0
IF YOU OR VISI THE TEA	HAVE ANY QUES T OUR WEBSITE R OFF STUB AT	STIONS REGA E. REMINDER TACHED BEL	ARDING YOUR A : TO FURTHER OW.	CCOUNT, EXPEDIT	PLEASE E YOUR	CALL OUF TRANSACT	R TOLL F	REE NUMBEI VEST USE	₹
GROSS DIVIDENDS	TAXES WITHHELD	CASH CONT	YEAR-TO-D	ATE SUMMAR					
17.1	4 4.7			. OO	TAL FUNDS INV		ADDITIONAL IN	COME FA	IR MARKET VALUE
	· · · · · · · · · · · · · · · · · · ·	- , L		. 00		2.35		02	5,421.6
	TRAN thares (Enter Number of Who ntered, Participation in the pla	NSACTION REQUIRES Shares)	JEST FORM - PLEA	TACH HERE • SE KEEP TH	IS FORM FO	R FUTURE	REQUESTS		
Ferms and issue Plan	Conditions Detailed in the Pla Shares (Enter Number of Wh	to the an.]		Mark this b	e other side not Sian	1 1 M	IVIDEND ELECTION flark this box and also election box below)
Registered Issue Direct Transfer Ad	holder(s) name. Registration shares (Shares	held by				Additional P Automatic M Deductions	urchase - Ionthly <i>Mark this</i>	Re Div	einvest Ali vidends
issued in the	e registered holder(s) name. Registration shares (Shares h	eki by			<u> </u>	box and con other side of Address Cha	form.	L Div	y Cash ridends
to the Terms Optional Ca	s and Conditions Detailed in the Solo state of t	l Subject				Mark this bo the other sid		Pay on i	invest Dividends on Shares and V Cash Dividends Remaining Shares
The Bank of	U.S. Bank and Payable to New York,	\$				\$25,000 \$17	.uu maxi	MINIMUM P (MUM PER I) MAXIMUM	'MUCCTHCH-
SIGNED BY ALL STERED OWNERS			SIGNATURE			DATE		DAYTIME TELEPI	
461	1. 1003	000-	(MAKE NO MARKIN	GS BELOW THIS	LINE)			<u> </u>	
T C1 T	1 1005	00004062	9A 5 n	Nonnae r	000				

the tear-off form at the bottom of the statement and mall it to us. Most request transactions, such as selling shares, issuing a certificate and terminatine dividend reinvestment are also available obline of via the automost transactions, which the tear-off form at the bottom of the statement and mall it to us. Most request transactions, such as selling shares, issuing a certificate and terminatine dividend reinvestment are also available obline of via the automost transactions are also available by calling the toil free number on the front of the figure of the control of the control of the tear of the control of

If you wish to transfer your reinvestment shares to another person, please call us at our toll-free telephone number listed on the front of this statement for transfer instructions and a stock power form. If the person receiving the shares wishes to enroll in a reinvestment plan, please request a reinvestment enrollment form and mail the completed form to The Bank of New York along with the transfer instructions.

A GUIDE TO UNDERSTANDING YOUR STATEMENT OF ACCOUNT

- * Record Date: The date you must officially be the holder of the stock in order to be entitled to receive a dividend.
- * Payment Date: The date on which dividends are paid.
- * Next Anticipated Investment Date: The next date on which your optional cash payment can be invested to purchase additional shares.

CURRENT DIVIDEND PURCHASE INFORMATION

The information in this section only pertains to those shares enrolled in the Plan.

- * Enrolled Record Date Shares Held By You in Certificate Form or Direct Registration: The total number of shares held by you and/or directly registered which were enrolled in the Plan on the record date.
- * Enrolled Record Date Shares Held By Plan Administrator: The total number of shares held for you by the Plan Administrator, The Bank of New York.
- * Enrolled Record Date Shares Total: Represents the total number of enrolled record date shares.
- * Rate: The amount of the dividend paid per share.
- * Gross Dividends Paid: The combined total of Enrolled Record Date Shares held on record date multiplied by the rate.
- * Service Fees (If Any): The amount of service fees deducted from your dividend as indicated in the Plan prospectus.
- * Tax Withheld (If Any): The amount of income taxes withheld from your dividend payment prior to investment.
- * Net Dollars Reinvested: The amount available for investment after deduction of service fees and taxes.

YEAR-TO-DATE TRANSACTIONS

- * Transaction Date: The activity date in your Plan account. Purchase and sale activity reflects the trade date not the settlement date. The trade date is usually 3 business days prior to the settlement date.
- * Transaction Description: The type of activity that took place in your Plan account.
- * Transaction Dollars: For purchases, it is the net money invested after deduction of service fees and taxes. For sales, it is the net proceeds after deduction of fees and commissions. See Plan prospectus for fee information.
- * Price per Share: The price per share, adjusted for brokerage commissions, used in the transaction as outlined in the Plan prospectus.
- * Transaction Shares: The number of shares added to your Plan account.
- * Total Shares Held By Administrator: The cumulative total of shares held for you by the Plan Administrator.

YEAR-TO-DATE SUMMARY

- * Gross Dividends: The gross dividends paid for the year on Plan shares plus any amount indicated in additional income.
- * Taxes Withheld: The total amount of taxes withheld from dividends prior to reinvestment, if any,
- * Cash Contributions: The total amount of optional cash invested after deducting any commission and/or fees.
- * Service Fees: The total amount deducted as outlined in the Plan prospectus.
- * Total Funds Invested: The total net dividends and net cash contributions.
- * Additional Income: The amount of brokerage commission paid on your behalf. This amount is income and will be reported to the Internal Revenue Service on Tax Form 1099-DIV.
- * Fair Market Value: The market value of the total shares in the Plan as of the last dividend transaction date listed on the front of this statement under "Year-To-Date Transaction" section.

IMPORTANT TAX INFORMATION

In the case of those shareowners whose dividends are subject to Federal income tax withholding, the appropriate amount of taxes were deducted from the gross dividends paid on enrolled shares held as of the record date.

SEE THE PROSPECTUS FOR FURTHER INFORMATION.

TRADING ACTIVITY

The Plan Administrator may use BNY Brokerage Incorporated, a wholly owned subsidiary of The Bank Of New York Company Inc., for trading activity under the Plan on behalf of Plan participitants. BNY Brokerage receives a commission in connection with the transaction it processes. If you have any questions about your account, contact our Investor Service Center or email us.

	◆ DETACH HERE ◆
Change of Address Request Fill in New Information (Please Print) Street	Certificate Transactions Sell Certificate Shares. Enter Number of Whole shares. (Enclose Certificates(s) with request) Do not Sign the Certificates. Shares will be Sold subject to the terms and conditions Detailed in the Plan.
Street (cont)	Deposit Direct Registration Shares. Enter the number of Whole Shares or "ALL". These shares will be deposited into the plan. The dividend election will not change, unless the Dividend Election on the reverse side is filled out:
State Zip Code	Deposit Certificate Shares For Safekeeping, Enter Number of Whole shares (Enclose Certificate(s) with request). Do not Sign the Certificate. The shares will be deposited into the plan. The Dividend election will not change, unless the Dividend Election on the Reverse side is filled out. Automatic Monthly Deductions
	Bank Routing (ABA) Number
Telephone Number During Business hours Note: To Change The Address, All Registered Owner(s) Must Sign on the Front of the Form Where Indicated.	Account Number
	Dollar Amount \$
	Checking Savings Account Account. Enclose Deposit Slip Enclose Voided Check

A DIRECT P 08-1	URCHASE AND L3555-mg	SALE P DOC	12805	Filed 11/	/12/10 TEMENT 2	Entered	d 11/17/			5,,4		ument seq#	O.T
	000232 XB46	ROW						website Email a	e at: http it: Shar	://stockbi eowners@	DALK OF M	equests are ew York's .com	000232
!	THE CHANTRY 50 BAKER ST	REET						1-80	0-824-	5707			
	POTTERS BAR UNITED KING	HERTS E	N6 2EB					Compar Account Record Paymen	ny Numb t Numbe Date it Date	00	0121109 0121109 /15/08 /22/08	3	
	<u> </u>							Next An	ticipated	Investmen		EEKLY	
	Plan	Record Dat		CURRE	NT DIVIDEN	D PURCHAS	E INFORMA						
Held By You In Ce Or Direct Regis		Held By Administr	Plan	Tota		Rate	Gross	ION Dollars		Service	Ta	, I	
75		1.24	31	76.	2431	.1700		12.9		Fees	With	held	Net Doll: Reinvest
Transaction Date				Y	AR-TO-DA	TE TRANSAC	TIONE	12.7	•	.00		.00	1
1000	Dat ave		ansaction Descr	iption		1	tion Dollars	Price pe	Share				
02/22/08	BALANCE COMMON: I	ITVIDEN	SD OIL			 		1 lice pe	or Share	Transacti	on Shares	Total Share:	Held By Admi
02/22/08	PLAN DIV	IDEND'	10		·		12.75 .21	53.6 53.6	519 519		2376 0039		1.;
-													
TE Way													
OR VISI	HAVE ANY T OUR WEB R OFF STU	QUESTI SITE. B ATTA	ONS REG REMINDE CHED RF	ARDING Y R: TO FU	OUR AC	COUNT, ¡ EXPEDITE	PLEASE OF YOUR	CALL D	UR TO	DLL FR	EE NUI	1BER	
				LON.	1			· Maria Mi	C1101	N KEQU	EST) (JSE	
GROSS DIVIDENDS	TAXES WIT	THHELD	CASH CON	YE NTRIBUTIONS	AR-TO-DAT	E SUMMARY							
12.96		.00		.00	SERVICE		AL FUNDS INVE	ESTED	ADDI	TIONAL INC	OME	FAIR MA	RKET VALUE
				.00		10	1	2.96		. 0:	2		4,103.5
	·				_ _	•••					 !		7,103.5
Sell Plan Sh	ares (Enter Number	TRANSA	CTION REQ	UEST FORM	— ♦ DETAI - PLEASE	KEEP THIS	FORM FO	D EllTun					
ferminated	ares (Enter Number Bred, Participation in Shares will be sold Conditions Detailed i	the plan will subject to the	be be	TIT	T T		,	Certificat	a Transac	UESTS			
Issue Plan SI if "All" is ente	hares (Enter Numbered, Participation in	n the Plan. Prof Whole sh	LL_	- 				complete	the other	mid.		(Wark thi	D ELECTION s box and also box below)
issue Direct F	legistration shares	(Shares held I						Additional	Purchase			Reinvest. Dividends	
issued in the t	egistered holder(s)	name.						Deduction box and c other side	vamalata s	his he		Pay Cash Dividends	
Whole shares to the Terms a	or "All". Shares will nd Conditions Deta	nter Number be Sold Subj iled in the Pla	of T					Address C Mark this I the other s	hav šad -	omplete n		Pay Cash	lividends en Shares and Dividende
The Bank of No	S. Bank and Payable W York.	ilars e to	\$					\$25.nn	\$50 0 00	0.00 M	INIMU	on Hemain	ing Shares
QUESTS MUST SIGNA SNED BY ALL ERED OWNERS	TURE			SIGNATU	IRE			\$1	75,00	0.00	MAXIM	M PER	STMENT YEAR
				(MAKE NO	MARKINGS	BELOW THIS LI	NICA				PAYTIME TE	LEPHONE N	Ю.
4611	7005	00	015110	E EP		000050	-						

he tear-off form at the bottom of the statement and mail it to us. Most request transactions, such as selling shares, issuing a certificate and terminating dividend reinvestment are also wallable online or request transactions are also available by calling the toll tree number on the front of this statement.

N. Transactions are also available by calling the toll tree number on the front of this statement.

if you wish to transfer your reinvestment shares to another person, please call us at our toll-free telephone number listed on the front of this statement for transfer instructions and a stock power form. If the person receiving the shares wishes to enroll in a reinvestment plan, please request a reinvestment enrollment form and mail the completed form to The Bank of New York along with the transfer instructions.

A GUIDE TO UNDERSTANDING YOUR STATEMENT OF ACCOUNT

- * Record Date: The date you must officially be the holder of the stock in order to be entitled to receive a dividend.
- * Payment Date: The date on which dividends are paid.
- * Next Anticipated Investment Date: The next date on which your optional cash payment can be invested to purchase additional shares.

CURRENT DIVIDEND PURCHASE INFORMATION

The information in this section only pertains to those shares enrolled in the Plan.

- * Enrolled Record Date Shares Held By You in Certificate Form or Direct Registration: The total number of shares held by you and/or directly registered which were enrolled in the Plan on the record date.
- * Enrolled Record Date Shares Held By Plan Administrator: The total number of shares held for you by the Plan Administrator, The Bank of New York.
- * Enrolled Record Date Shares Total: Represents the total number of enrolled record date shares.
- * Rate: The amount of the dividend paid per share.
- * Gross Dividends Paid: The combined total of Enrolled Record Date Shares held on record date multiplied by the rate.
- * Service Fees (if Any): The amount of service fees deducted from your dividend as indicated in the Plan prospectus.
- * Tax Withheld (If Any): The amount of income taxes withheld from your dividend payment prior to investment.
- * Net Dollars Reinvested: The amount available for investment after deduction of service fees and taxes.

YEAR-TO-DATE TRANSACTIONS

- * Transaction Date: The activity date in your Plan account. Purchase and sale activity reflects the trade date not the settlement date. The trade date is usually 3 business days prior to the settlement date.
- * Transaction Description: The type of activity that took place in your Plan account.
- * Transaction Dollars: For purchases, it is the net money invested after deduction of service fees and taxes. For sales, it is the net proceeds after deduction of fees and commissions. See Plan prospectus for fee information.
- * Price per Share: The price per share, adjusted for brokerage commissions, used in the transaction as outlined in the Plan prospectus.
- * Transaction Shares: The number of shares added to your Plan account.
- * Total Shares Held By Administrator: The cumulative total of shares held for you by the Plan Administrator.

YEAR-TO-DATE SUMMARY

- * Gross Dividends: The gross dividends paid for the year on Plan shares plus any amount indicated in additional income.
- * Taxes Withheld: The total amount of taxes withheld from dividends prior to reinvestment, if any.
- * Cash Contributions: The total amount of optional cash invested after deducting any commission and/or fees.
- * Service Fees: The total amount deducted as outlined in the Plan prospectus.
- * Total Funds Invested: The total net dividends and net cash contributions.
- * Additional Income: The amount of brokerage commission paid on your behalf. This amount is income and will be reported to the Internal Revenue Service on Tax Form 1099-DIV.
- * Fair Market Value: The market value of the total shares in the Plan as of the last dividend transaction date listed on the front of this statement under "Year-To-Date Transaction" section.

IMPORTANT TAX INFORMATION

In the case of those shareowners whose dividends are subject to Federal income tax withholding, the appropriate amount of taxes were deducted from the gross dividends paid on enrolled shares held as of the record date.

SEE THE PROSPECTUS FOR FURTHER INFORMATION.

TRADING ACTIVITY

The Plan Administrator may use BNY Brokerage Incorporated, a wholly owned subsidiary of The Bank Of New York Company Inc., for trading activity under the Plan on behalf of Plan participitants. BNY Brokerage receives a commission in connection with the transaction it processes. If you have any questions about your account, contact our Investor Service Center or email us.

· · · · · ·	♦ DETACH HERE ♦
Change of Address Request Fill in New Information (Please Print) Street	Certificate Transactions Sell Certificate Shares. Enter Number of Whole shares. (Enclose Certificates(s) with request.) Do not Sign the Certificates. Shares will be Sold subject to the terms and conditions Detailed in the Plan.
Street (cont)	Deposit Direct Registration Shares. Enter the number of Whole Shares or "ALL". These shares will be deposited into the plan. The dividend election will not change, unless the Dividend Election on the reverse side is filled out.
State Zip Code	Deposit Certificate Shares For Safekeeping, Enter Number of Whole shares (Enclose Certificate(s) with request). Do not Sign the Certificate. The shares will be deposited into the plan. The Dividend election will not change, unless the Dividend Election on the Reverse side is filled out. Automatic Monthly Deductions
	Benk Routing (ABA) Number
Telephone Number During Business hours Note: To Change The Address. All Registered Owner(s) Must Sign on the Front of the Form Where Indicated.	Account Number
	Doiler Amount \$,
	Checking Savings Account Account Enclose Deposit Slip Enclose Voided Check

08-1355	5-mg	Doc 12	805	Filed	11/1	2/10 Pg 28		ed 11/1	L7/10	10:55:2	4 N	lain D	ocument 3818 01 0008	511 0017588
BNY	MELLON	1				. g _ 0	0.00			holder Of:				
SHAREO	WNER SERVIC	ES							RILVE	MAN BRO	THERS	HOLD	INGS INC.	
P.O. E	3580	035							2017	EMENT PRI	N			
PillSDI	urgn, PA	15252-8	035						CUSII	FINE AL PKI	NI DAT	E:		08/2
									SYME	<u> </u>				001-450-52
								[ACCO	UNT KEY:			NANCA	pp out
0008511	FR		_					l	INVES	TOR ID:	·		IVAIVOA	RROWP1UI
			T 38:	18 .,	(01 B10TH	-1 -							125098
CHANTE 50 BAKE POTTER	RSTREE	T PTS ENG	₽EΒ			•			FOR 0	QUESTIONS C 824-5707	ONCER	NING YOU	JR ACCOUNT	PLEASE CALL
Year-To-l	45 OF: 08/26	V200A			ASH		·			Sa	ve thi	s Stati	ament for	Tax Purpo
TOTAL MARKET	VALUE (\$)			INVESTA	IENTS (\$)	1	•	<u> </u>				t .	ement tol	
TRADING FEES		14.030	- 1						OTAL (\$) 39.02	TAX WIT	THHELD () AMOU	NT TO INVEST (NET AMOI
COMPANY S	HAREHOLDE	SERVICE R COMPANY		D BY (\$) EHOLDER	s	ALE OF PLA	N SHARES	(\$)					39.02	39.02
0.16			STARI	ENULUER	GROSS	PROCEEDS		VITHHELD	SHARE	RTIFICATED S HELD BY YOU	SHAR	ES HELD PLAN	SHARES HELI OTHER PLAN	DBY TOTAL
Current Ad	ctivity In	formatic					Д	·			 	8009	OTHER PLAN	
THE CAN DATE		TRANSAC									<u> </u>		·	77.800
PAYABLE DATE 08/11/2008	COMMON	DESCRIP	TION			IDEND ATE	SHA	RES ACQUIR	ED	<u> </u>		Τ	CASH	
08/25/2008	CONTRACTO	DIMPEND				0.1700000		WITH DICAYV.	0.9591	 		INVE:	STMENT (\$)	TOTAL GROSS (\$)
	-				_	1								
TAX WITHHELD (\$)	COMPANY FEE	S PAID BY (\$)	SERVICE	FEES PAID		TOTAL	CER	TIFICATED S	PART	CIPATING	RECOR	D DATE	DISTRIBUT	ON
	0.10		CORPANY	SHARE	HOLDER	NET (\$)		HELD BY Y	DU	SHARES !	1ELD	SHARE	SHELDRY	TOTAL
I		<u> </u>				13	3.06				76.8418	OINE	R PLAN(S)	SHARES
Year-To-Da	te Trans	saction E)etail			·· <u>·</u> ······				<u> </u>		<u> </u>		76.8
DATE	TRANSACT	TION	CA	SH				·						
BALAN	DESCRIPT CE FORWA	IBD.	INVEST	IENT (\$)	DISTRIBL	TION (\$)	TRADING FEES (\$)	SERVICE FEES (\$)		MOUNT ESTED (\$)	PRICE PE		RES ACQUIRE	SHADEOUS
02/22/08 COMMA	ON DIVIDEN	1Ď				12.75		 	 ""	(\$) (B)	SHARE (s) Of	RWITHDRAWN	SHARES HELI BY PLAN
05/23/08 COMM	ON DIVIDEN	ID .		- 1		0.21	0.02	1		12.75 0.21	53.6519	9000	0.2378	76.24 76.48
08/25/08 COMM	ON DIVIDEN	D				12.75 0.25	0.04	1	}	12.75	53.6519 36.3950	1000	0.0039 0.3503	76.48
				··— <u></u> _		13.06	0.10	<u> </u>	1	0.25 13.06	36,3950 13,6164	000	0.0069 0.9591	76.84
														<u> 77.80</u>
LEHMAN BROTHEI CUSIP: 001-450-52 ACCOUNT KEY: N. PAUL NANCARROV CHANTRY 50 BAKER STREET	:490810 ANCARROV V		issu i	al With e a certi	ficate for	(Continu	le Plan I	oarticipat	 ion)			sh Inve	stments	
OTTERS BAR HED	RTS EN6 2E	8	Sell	this nu~	ber of s	.	L_			[ayable to		
INITED KINGDOM		_		-110 Hull	INGLOIS	nares;				BNY	MELLO	N/LEHM	AN BROTHE	RS
			Full W	lithder	wal /T					YOUN	AAY INCE	PAGE V	311D 0114	
						ertificate		rticipation	1)			SH INVES O ANNUA		\$50

issue a certificate for all full shares

and a check for fractional shares.

Sell all plan shares.

All owner(s) must sign and date above

Contact Number

of shares:

Deposit of Certificates

Deposit the enclosed number

					Py 29	015) 3		_				93 0017952
MELLON													
OWNER SERVICE	\$							LEF	IMAN BR	OTHERS	HOLD	INGS INC.	
Box 3580	35												
ourgh, PA	15252-8	3035						CHE	IEMENT P	RINT DAT	Έ:		08
												(001-450-5
										<u>':</u>		NANCA	ROWPA
FR		т 3:	B18	co	D1 B1OTH	-1	-	11144	STOR ID:	<u> </u>			12508
IANCADDO													
'RY-50 BAKE	RSTREE	T										•	
ND ENG 2EI	RIS B							FOR 1-800	QUESTION: 1-824-5707	S CONCER!	VING YO	UR ACCOUNT P	LEASE CALI
·Date Acc	ount S												
AS OF: 08/26/	2008			ASH	Т					Save thi	s Stat	ement for	Tay Dum
			INVEST	MENTS (\$)	1		<u> </u>	TOTAL					
-		1	·						TAX	WITHHELD () AMOL	INT TO INVEST (\$)	NET AM INVEST
SHAREHOLDER	SERVICE	FEES PA	ID BY (\$)	SA	LE OF PLA	N SHAF	ES (\$)		L_			46.73	46.7
		STEAT	CEHOLDER	GROSS P	ROCEEDS			LD SHAR	ES HELD BY Y	1	ES HELD	SHARES HELD	BY TOT
athria I	<u> </u>			L		\perp			·			OTHER PLAN(S	S) SHA
CUVITY IN													102.2
	DESCRIP	MOITS MOITS				S	HARES AC	OURED					·
COMMON	DIVIDEND						OR WITHD	RAWN	1		INVE	CASH	TOTAL
<u> </u>					.1700000			0.911	4		MAE	SIMENT(\$)	GROSS
		SERVIC	E FEES PAID	8Y (\$)				PAR	TICIPATIN	G RECOR	DATE	DIOTOLO	
 	SHAREHOLDER				NET (\$)	0	ERTIFICAT	ED SHARES	SHARE	SHELD	SHARE	DISTRIBUTIO	
0.03		ŀ			1:	2.41		31 108	BYI		OTHE	R PLAN(S)	TOTAL SHARES
ate Trans									<u> </u>	101.3877	ĺ		10
TRANSACTI	ON	$\overline{}$							_				
DESCRIPTION	ON	INVEST	MENT (\$)	NET DISTRIBUT	ION (S)	TRADI	NG SER		AMOUNT	PRICE P			
		1			1014 (4)	PEES	(\$) FEE			SHARE (ARES ACQUIRED	
					15.98	c	0.02	ĺ	15.00				BY PLAN
	_		ĺ				103	- 1	1.16	53,6510	nnni	0.2145	100 101
ON DIVIDENT)	<u>L</u>			1.20		- 1	1	15.98	36.395(2000	0.01571 0.3163	101. 101.
					12.41	0	.09[12.41	13.6164	1000i	0.0236	101.
													102
VANCARRON		, iss	ue a cert	ificate for H	Continu	re Pla	n Partic	ipation)	Addit	tional Ca	sh Inve	estments	·
DTC		nui	niper of	shares:		Į			1			• [
8		Sei	l this nur	nber of sha	ares:	Г			Ma	ke check p	ayable t	o:	
						- 1			BN	Y MELLO	N/LEHM	AN BROTHER	RS
									J YO	U MAY INC	PEACE V	0110 011	
·	·	Full \	Vithdra	wal (Ter	minate	Plan F	Particina	ation \					io.
	·	Full \	Vithdra	Iwal (Ter	itificate f	or all f	ام الد			TIONAL CA: TO \$175,00			60
and date abov	ve	Full \	Vithdra	wal (Ter Issue a ce and a che Sell all pla	ertificate f ck for frac	or all fi ctional	ام الد		UP Depos		o annua	LLY S	60
	PR VANCARROY PR VANCARROY RY-50 BAKE RSBAR HEF ND ENG 2E S PAID BY (\$) SHAREHOLDER COMMON I TRADING FEE COMMON I TRADING FEE COMPANY 0.09 Ate Trans TRANSACTI DESCRIPTI NON DIVIDENI MON	AS OF: 08/26/2008 ET VALUE (\$) CLOSING PI 3.26 14.030 ET VALUE (\$) SERVICE SHAREHOLDER COMPAN CLIVITY Information TRANSACTION DESCRIPTION ACE TORWARD MON DIVIDEND DWNER SERVICES BOX 358035 DUIGH, PA 15252-8035 PA 15252-8035 DESCRIPTION DESCRIPTION TRANSACTION DESCRIPTION DESCRIPTION TRANSACTION DESCRIPTION TRANSACTION DESCRIPTION DESCRIPTION TRANSACTION DESCRIPTION DESCRIPTION TRANSACTION DESCRIPTION DESCRIPTION TRANSACTION DESCRIPTION DESCRIPTION DESCRIPTION TRANSACTION DESCRIPTION DESCRIPTION DESCRIPTION TRANSACTION DESCRIPTION DESCRIPTION DESCRIPTION TRANSACTION DESCRIPTION DES	DATE ACCOUNT SUMMARY AS OF: 08/26/2008 ET VALUE (\$) CLOSING PRICE (\$) INVEST SHAREHOLDER COMPANY SHAREHOLDER COMMON DIVIDEND TRANSACTION DESCRIPTION TRANSACTION TRA	WMELLON DWINER SERVICES BOX 358035 DUIGH, PA 15252-8035 PR T 3819 CASH PR T 3819 CASH PR T 3819 CASH PRY-50 BAKER STREET RSBAR HERTS ND ENG 2EB CASH PRY-50 BAKER STREET RSBAR HERTS ND ENG 2EB CASH PRY-50 BAKER STREET RSBAR HERTS ND ENG 2EB CASH PRY-50 BAKER STREET RSBAR HERTS ND ENG 2EB CASH PRY-50 BAKER STREET RSBAR HERTS ND ENG 2EB CASH PRY-50 BAKER STREET RSBAR HERTS ND ENG 2EB CASH PRY-50 BAKER STREET RSBAR HERTS ND ENG 2EB CASH PRY-50 BAKER STREET RSBAR TRABSACTION PROBLEM PROB	WELLON DWINER SERVICES BOX 358035 DURGH, PA 15252-8035 FR T 3919 C01 B10TH NANCARROW RY-50 BAKER STREET RSBAR HERT'S ND ENG 2EB Date Account Summary AS 0F: 08/26/2008 ET VALUE (\$) CLOSING PRICE (\$) INVESTMENTS (\$) S26 14.0300 S PAID BY (\$) SERVICE FEES PAID BY (\$) SALE OF PLA SHAREHOLDER COMPANY SHAREHOLDER GROSS PROCEEDS COMMON DIVIDEND TRADBY FEES PAID BY (\$) SERVICE FEES PAID BY (\$) TOTAL COMPANY SHAREHOLDER COMPANY DIVIDEND TRADBY FEES PAID BY (\$) SERVICE FEES PAID BY (\$) TOTAL COMPANY SHAREHOLDER COMPANY DIVIDEND TRADBY FEES PAID BY (\$) SERVICE FEES PAID BY (\$) TOTAL COMPANY SHAREHOLDER COMPANY DIVIDEND TRADBY FEES PAID BY (\$) SERVICE FEES PAID BY (\$) TOTAL COMPANY SHAREHOLDER COMPANY DIVIDEND TRADBY FEES PAID BY (\$) DISTRIBUTION (\$) TRADBY FEES PAID BY (\$) SERVICE FEES PAID BY (\$) TOTAL COMPANY SHAREHOLDER COMPANY DIVIDEND TRADBY FEES PAID BY (\$) DISTRIBUTION (\$) TOTAL COMPANY SHAREHOLDER COMPANY DIVIDEND TRADBY FEES PAID BY (\$) DISTRIBUTION (\$) TOTAL COMPANY SHAREHOLDER COMPANY DIVIDEND TOTAL COMPANY SHAREHOLD	MELLON DWNER SERVICES BOX 358035 DURGH, PA 15252-8035 FR T 3819 C01 B107H -1 MANCARROW RY-50 BAKER STREET RSBAR HERTS ND ENG 2EB	DAVIER SERVICES BOX 358035 DUIGN, PA 15252-8035 FR T 3819 C01 B107H -1 ANNCARROW RY-50 BAKER STREET RSBAR HERT'S ND ENG 2EB CASH AS OF: 08/28/2008 ET VALUE (8) CLOSING PRICE (8) INVESTMENTS (8) S-2.6 14.0300 SPAID BY (8) SERVICE FEES PAID BY (8) SALE OF PLAN SHARES (8) SHAREHOLDER COMPANY SHAREHOLDER GROSS PROCEEDS TAX WITHHE COMPANY SHAREHOLDER	MELLON WHER SERVICES BOX 358035 DURGH, PA 15252-8035 CUS SYM ACC INVE IR I 3818 CO1 BLOTH -1 FOR ANCARROW RY-SO BAKER STREET RSBAR HERTS ND ENG 2EB CASH ET VALUE (8) CLOSING PRICE (8) INVESTMENTS (8) TOTAL (8) SHAREHOLDER COMPANY SHAREHOLDER GROSS PROCEEDS TAX WITHHELD SHARE CTIVITY Information TRANSACTION DESCRIPTION COMPANY SHAREHOLDER GROSS PROCEEDS TAX WITHHELD SHARE COMPANY SHAREHOLDER GROSS PROCEEDS TAX WITHHELD SHARE COMPANY SHAREHOLDER GROSS PROCEEDS TAX WITHHELD SHARE TRADING FEES PAID BY (8) SALE OF PLAN SHARES (9) C. CTIVITY INFORMATION TRADING FEES PAID BY (8) SHARES ACQUIRED OR WITHDRAWN COMPANY SHAREHOLDER COMPANY SHAREHOLDER GROSS PROCEEDS TAX WITHHELD SHARE TRADING FEES PAID BY (8) SERVICE FEES PAID BY (8) TOTAL COMPANY SHARES PAID BY (8) SERVICE FEES PAID BY (9) TOTAL COMPANY SHAREHOLDER GROSS PROCEEDS TAX WITHHELD SHARES HELD BY YOU TRADING FEES PAID BY (8) SERVICE FEES PAID BY (9) TOTAL COMPANY SHAREHOLDER GROSS PROCEEDS TAX WITHHELD SHARES HELD BY YOU TRADING FEES PAID BY (8) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES ACQUIRED COMPANY SHARES PAID BY (8) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (8) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (8) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) SERVICE FEES PAID BY (9) TOTAL COMPANY SHARES PAID BY (9) T	MELLON DWRESTRYICES MALEUN WHESTRYICES DOX 358035 DUTGR, PA 15252-8035 MEDY STATEMENT FOR CUSIP: SYMBOL: ACCOUNT KEY INVESTOR ID: PR z 3819 CO1 BLOTH -1 MANCARROW RY-50 BAKER STREET RSBAR HERTS ND ENG 2EB MARCHOLDER ACCOUNT SUMMARY AS OF. 0828/2008 ET VALUE (8) CLOSING PRICE (8) INVESTMENTS (9) ET VALUE (9) CLOSING PRICE (8) INVESTMENT (9) ET VALUE (9) CLOSING PRICE (9) INVESTME	MELLON DWIRE SERVICES WESTIGNES WHELLON DWIRE SERVICES WHENCES DUTGH, PA 15252-8035 MELLON DWIRE SERVICES DUTGH, PA 15252-8035 MELLON DWIRE SERVICES DUTGH, PA 15252-8035 MELLON DWIRE SERVICES DUTGH, PA 15252-8035 MELLON DWIRE SERVICE SYMBOL: ACCOUNT KEY: INVESTOR ID: MESTOR ID	MELLON SMRIAS REPURCE SMRIANCE	Shareholder Off WHELLON WHITE SHARCH SHOUTHERS HOLDINGS INC. LEHMAN BROTHERS HOLDINGS INC. LEHMAN BROTHERS HOLDINGS INC. STATEMENT PRINT DATE: CUSIF: SYMBOL: ACCOUNT KEY: NANCAI RWESTOR ID: RYMBOL: ACCOUNT KEY: NANCAI RWESTOR ID: FOR GUESTICNS CONCERNING YOUR ACCOUNT P 1-800-824-8707 Save this Statement for TOTAL (6) TOTAL (7) TOTAL (7) TOTAL (8) TOTAL (8) TOTAL (9)	

LEHMAN BROTHERS

PRIVATE AND CONFIDENTIAL 2006 TOTAL COMPENSATION STATEMENT

TO:

Paul Nancarrow

10003197

DEPT:

IT: 43266 - LON-ITD-INF Engineering

FROM:

R Slater

DATE:

December 13 2006

Please find below the details of your 2006 Total Compensation and any year-end awards:

Total Compensation Summary

Paid Salary	GBP
Total Bonus	49,000 3,000
Total Compensation	52,000
Total Bonus	3,000
Total Equity Award	1,196
Net Bonus Award	1,804

Equity Award Detail

Equity Type CSAs	USD Award Value 2.169	USD Mkt Price		No. of Units
Total Equity Award	2,169	77.03	57.77	37.55

Your total CSA Award is based on a Total Compensation of USD 94,323 (for the purposes of calculating the CSA Award ONLY).

When awarding the CSA award the Firm has applied a discount of 25% to the market price of \$ 77.03. CSAs are subject to restrictions until 30 November 2011; they cannot be sold, traded or pledged before then.

A full summary of all your outstanding CSA Awards (including your 2006 Award) will be available on LehmanLive, keyword "equityaward", during the first quarter of 2007. All terms and conditions of the CSA Awards are subject to the controlling plan

Base Salary

Your base salary has increased to GBP 50,000 with effect from 1 December 2006.

Additional Information

All terms and conditions of your employment remain unchanged.

Entitlement to your 2006 Awards are contingent on you being employed by Lehman Brothers at, and not under notice either given or received prior to, 31 January 2007.

LEHMAN BROTHERS

Notes to your 2006 Bonus Award Payslip

STRICTLY PRIVATE AND CONFIDENTIAL

To:

Paul Nancarrow

Global ID: 10003197

Dept:

IT - 43266 - LON-ITD-INF Engineering

Payroll: 070-U

Payroli No.

0110866

From:

European Human Resources

Payment Date: 31st January 2007

items on the Payslip

Bonus '06

PAYSLIP TOTAL 1,804.00

Items NOT shown on the Payslip

CSAs

1,196.00

GBP

1,804.00

SUBTOTAL

1,196.00

TOTAL 2006 BONUS

3,000.00

<u>Additional information</u>

Bonus '06:

Your cash bonus award is taxed via PAYE and will be included in your 2006/7 year-end P60 form.

This represents the Contingent Stock Award ("CSAs") value made under the relevant 2006 plan. A full summary showing all your outstanding awards, together with details of the vesting periods will be available to view on LehmanLive, keyword "equityaward", during the first quarter 2007.

LEHMAN BROTHERS

Notes to your 2005 Bonus Award Payslip

STRICTLY PRIVATE AND CONFIDENTIAL

To:

Paul Nancarrow

Dept:

IT - 43266 - LON-ITD-INF Engineering

Payroll: 070-U

Giobal ID: 10003197

Payroli No.

110866

From:

European Human Resources

Payment Date: 31st January 2006

Items on the Payslip

GBP Bonus '05 2,980.00

PAYSLIP TOTAL 2,980.00

Items NOT shown on the Payslip

CSAs 1,020.00

SUBTOTAL 1,020.00

TOTAL 2005 BONUS 4,000.00

Additional Information

Bonus '05:

Your cash bonus award is taxed via PAYE and will be included in your 2005/6 year-end P60 form.

CSA Award:

This represents the Contingent Stock Award ("CSAs") value made under the relevant 2005 plan. A full summary showing all your outstanding awards, together with details of the vesting periods will be available to view on LehmanLive, keyword "equityaward", during the first quarter 2006.

LEHMAN BROTHERS

PRIVATE AND CONFIDENTIAL 2005 TOTAL COMPENSATION STATEMENT

TO:

Paul Nancarrow

10003197

DEPT:

IT: 43266 - LON-ITD-INF Engineering

FROM:

A Champion

DATE:

December 14 2005

Please find below the details of your 2005 Total Compensation and any year-end awards:

Total Compensation Summary

	GBP	
Paid Salary	47,000	
Total Bonus	4,000	
Total Compensation	51,000	
Total Bonus	4.000	
Total Equity Award	1,020	
Net Bonus Award	2.980	

Equity Award Detail

Equity Type CSAs	1,885	USD Mkt Price 126.00	USD Grant Price 94.50	No. of Units
Total Equity Award	1,885			, 4.14

Your total CSA Award is based on a Total Compensation of USD 94,235 (for the purposes of calculating the CSA Award ONLY).

When awarding the CSA award the Firm has applied a discount of 25% to the market price of \$ 126.00. CSAs are subject to restrictions until 30 November 2010, they cannot be sold, traded or pledged before then.

A full summary of all your outstanding CSA Awards (including your 2005 Award) will be available on LehmanLive, keyword "equityaward", during the first quarter of 2006. All terms and conditions of the CSA Awards are subject to the controlling plan documents.

Base Salary

Your base salary has increased to GBP 49,000 with effect from 1 December 2005. You will receive this increase with your January pay retroactive to December 2005.

Additional Information

All terms and conditions of your employment with the Company remain unchanged.

Entitlement to your 2005 Awards are contingent on you being employed by Lehman Brothers at, and not under notice either given or received prior to, 31 January 2006.

08-13555-mg Doc 12805 Filed 11/12/10 Entered 11/17/10 10:55:24 Main Document

BROTHERS LEHMA

PRIVATE AND CONFIDENTIAL 2004 TOTAL COMPENSATION STATEMENT

TO:

Paul Nancarrow

10003197

DEPT:

IT: 43266 - LON-ITD-INF Engineering

FROM:

A Champion

DATE:

December 15, 2004

Please find below the details of your 2004 Total Compensation and any year-end awards:

Total Compensation Summary

Paid Salary	GBP
Total Bonus	45,000 650
Total Compensation	45,650
Total Bonus	
Total Equity Award	650
	650

Equity Award Detail

-daish Wasta Defail				
Equity Type CSAs	USD Award Value	USD Mkt Price	USD Grant Price	No. of Units
Total Equity Award	1,178	85.80	64.35	18.31
	1,178			
uritotal CSA Award in harry				

Your total CSA Award is based on a Total Compensation of USD 82,729 (for the purposes of calculating the CSA Award

When awarding the CSA award the Firm has applied a discount of 25% to the market price of \$ 85.80. CSAs are subject to restrictions until 30 November 2009, they cannot be sold, traded or pledged before then.

A full summary of all your outstanding CSA Awards (including your 2004 Award) will be available on LehmanLive, keyword "equityaward", during the second quarter of 2005. All terms and conditions of the CSA Awards are subject to the controlling plan documents.

Base Salary

Your base salary has increased to GBP 47,000 with effect from 1st December 2004. You will receive this increase with your January pay along with arrears for December.

Additional Information

All terms and conditions of your employment with the Company remain unchanged.

Entitlement to your 2004 Awards are contingent on you being employed by Lehman Brothers at, and not under notice either

Doc 12805 · Filed 11/12/10 Entered 11/17/10 10:55:24 Main Document 08-13555-mg

LEHMAN BROTHERS

Notes to your 2003 Bonus Award Payslip

STRICTLY PRIVATE AND CONFIDENTIAL

To:

Paul Nancarrow

Dept:

Payroli No.

IT-43266-LON-ITD-INF Engineering

0110866

From:

European Human Resources

Global ID: 10003197

Payroli: 070-U

Payment Date: 30th January 2004

Items on the Payslip

PONUOLOS	GBP
BONUS ' 03	163.79
PAYSLIP TOTAL	163.79

Items NOT sho

own on the Payslip		
CSAs	456.2	0
SUBTOTAL	456.2	0
TOTAL 2003 BONUS	619.99)

Additional Information

Bonus ' 03:

Your cash bonus award is taxed via PAYE and will be included in your 2003/2004 year-end P60 tax form.

CSA Award:

This represents the Contingent Stock Award ("CSA") value made under the relevant 2003 plan. A full summary showing all your outstanding awards together with details of the vesting periods will be available to view on LehmanLive during the second quarter 2004.

Doc 12805 08-13555-mg Filed 11/12/10 Entered 11/17/10 10:55:24 Main Document

LEHMAN BROTHERS

PRIVATE AND CONFIDENTIAL 2003 TOTAL COMPENSATION STATEMENT

TO:

Paul Nancarrow

10003197

DEPT:

IT: 43266 - LON-ITD-INF Engineering

FROM:

M Persaud

DATE:

December 17, 2003

Please find below the details of your 2003 Total Compensation and any year-end awards:

Total Compensation Summary

Polow.	GBP	
Salary Total Bonus	45,000	
	620	
Total Compensation	45,620	
Total Bonus	600	
Total Equity Award	620	
Net Bonus Award	456	
	164	

Equity Award Detail

Equity Type CSAs	USD Award Value	and milling	USD Grant Price	No. of Units	
Total Equity Award		71.39	53.54	13.73	
7.1174.0	735				
ir total CCA Assessed					

Your total CSA Award is based upon a Total Compensation of USD 73,510 (for the purpose of calculating the CSA Award

When awarding the CSA Award the Firm has applied a discount of 25% to the market price of \$71.39.

CSAs are subject to restrictions until November 2008, they cannot be sold, traded or pledged before then.

A full summary of all your outstanding CSA Awards (including your 2003 Award) will be available to view on LehmanLive during the second quarter of 2004. All terms and conditions of the CSA Awards are subject to the controlling plan **Base Salary**

Your base salary remains unchanged.

Additional Information

All other terms and conditions of your employment with the Company remain unchanged.

Entitlement to your 2003 Awards is contingent on you being employed by Lehman Brothers at, and not under any period of

Doc 12805 Filed 11/12/10 Entered 11/17/10 10:55:24 Main Document

LEHMAN BROTHERS

PRIVATE AND CONFIDENTIAL

Notes to your 2001 Bonus Award Payslip

To:

Paul Nancarrow

PAYMENT DATE:

Thursday, 31st January 2002

DEPT:

' IT-43266 - LON-ITD-INF Engineering

PAYROLL NO:

0110866

GLOBAL ID:

10003197

FROM:

European Human Resources

Items on the payslip

	GBP
Bonus 2001	490.22
Payslip Total	
	490.22

Items NOT shown on the payslip

CSA Award Value	509.79
Subtotal	509.79
TOTAL 2001 BONUS	307.79
TOTAL 2001 BONUS	1,000.00

Additional Information

- Bonus 2001: Your cash bonus award is taxed via PAYE and will be included in your 2001/2002 year-end tax form
- CSA Award Value: This represents the Contingent Stock Award Value ("CSA") made under the relevant 2001 plan. A full statement showing all your awards to date together with details of the vesting periods will be issued in

PRIVATE AND CONFIDENTIAL

2001 TOTAL COMPENSATION STATEMENT

To:

Paul Nancarrow

REF: 10003197 / ITD_2

DEPT:

IT- 43266- LON-ITD-INF Engineering

FROM:

Mark Russell / Barry P Casswell / Marcus Cullen

DATE:

Tuesday, December 18, 2001

Please find below the details of your 2001 Total Compensation and your year-end awards:

2001 Total Compensation Summary

Detail Call	GBP
Paid Salary	45,000
Total Bonus Award	1,000
CSA Award value	510
Net Bonus Award value	490

2001 Bonus Award

- Your 2001 Bonus Award is GBP 1,000 making your Total Compensation for the year GBP 46,000.
- Your total CSA Award is USD 734 and is based upon a Total Compensation of USD 66,268 (for the purpose of calculating the CSA Award ONLY).
- When awarding the CSA Award the Firm has applied a discount of 25% to the market price. (Market price at
- The current estimated value of the CSA award would be USD 1,331.

Base Salary

Your base salary remains unchanged.

Additional Information

- All other terms and conditions of your employment with the Company remain unchanged.
- Entitlement to your 2001 Award is contingent on you being employed by Lehman Brothers at, and not under any period of notice prior to, 31 January 2002.
- CSAs are subject to restrictions until November 2006. They cannot be sold, traded or pledged before then.
- A full summary of all your outstanding CSA Awards (including your 2001 Award) will be sent to you in the first quarter of 2002. All terms and conditions of the CSA Awards are subject to the controlling plan documents.

08-13555-mg Doc 12805_ Filed 11/12/10 Entered 11/17/10 10:55:24 Main Document

LEHMAN BROTHERS

*** IMPORTANT ***

NOTES TO YOUR 2000 BONUS AWARD PAYSLIP

STRICTLY PRIVATE & CONFIDENTIAL

NOTES

Paul Nancarrow

Department: 43266 PC/UNIX SYSTEMS

Division: ITD Payroll No.: 110866

FX rate used : £1 : \$1.542 Payment date : 31 Jan 2001

Description	£		
Items on the Payslip		\$	Tax Notes
BONUS 2000	4,711.73	7,266	Toyod via DAVE
Payslip Total	4,711.73	1,250	Taxed via PAYE (on 2000/2001 P60)
	7,111.13	7,266	
items NOT shown on Payslip			
Contingent Stock Award	476.27	734	
Subtotal	476.27	734	
TOTAL 2000 BONUS		734	
TOTAL ZUUU BONUS	5,188.00	8,000	

CSA Contingent Stock Award: This represents the value of the Contingent Stock Awards (CSAs) made under the relevant 2000 plan. A full statement, showing all awards to date and vesting periods will be issued in the first quarter of

Private and Confidential

Name:

Paul Nancarrow

Dept:

43266 - PC/UNIX SYSTEMS

Ref:

WS8 \ ITD - 10003197

December 2000

STATEMENT OF TOTAL COMPENSATION

Earned Salary Bocus Award Total	Value in £ 42,439 5,188 47,627	Value in \$ 65,442 8,000 73,442
---------------------------------------	---	--

The FX rate used to calculate your Total Compensation is \$1.00: £0.6485. This Bonus Exchange Rate is close to the average daily exchange

Bonus and CSA Award Analysis

2000 Stock Award (CSAs)	Value in £	Value in \$
Net Bonus Award Value Due Jan 2001	476	734
2000 Bonus Award Value	4,712 5,188	7,266 8.000

Entitlement to your 2000 Bonus Award is contingent on you being employed by Lehman Brothers and not under any period of notice prior to

Your 2000 Stock Award of \$734 is made under the 2000 Lehman Brothers Stock Award Program and is based on your 2000 Total Compensation. As an illustration, we have approximated the number of CSAs awarded and calculated a valuation using the market price at grant of \$49.5625. CSAs were calculated at a market price of \$49.5625 and discounted by 20% to \$39.65.

CSA Award - For Illustrative Purposes Only

A full statement showing all awards to date will be issued in the first quarter of 2001

•	the list quarter of 2001			
2000 Award	\$	Approx. No. of Units	Award Price \$	Value at Grant \$
CSAs are subject to restrictions until November 2005.	734	18	39.65	892

CSAs are subject to restrictions until November 2005. They cannot be sold, traded or pledged for that 5-year period.

Your annual salary remains unchanged.

All other terms and conditions of your employment with the Company remain unchanged.

If you are a member of the UK Pension Scheme, you may wish to take the opportunity to use some of your bonus to make an Additional Voluntary Contribution (AVC) to your pension. If you wish to do this, please access Employee Services and Benefits on the Lehman Brothers intranet site and choose Europe - United Kingdom - Employee Benefits - and then select the Bonus

STATEMENT OF TOTAL COMPENSATION 1 December 1998 to 30 November 1999

	Value in £	Value in \$
Earned Salary	39,000	63,338
Bonus Award	4,880	7,925
Total	43,880	71,263

1999 BONUS AWARD ANALYSIS

1999 Stock Award (CSAs) Net Bonus Award Value Due Jan 2000 1999 Bonus Award Value	Value in £ 439 4,441 4,880	Value in \$ 7137,2127,925
--	----------------------------	---------------------------

1999 CSA ANALYSIS (Stock Price at Grant \$76.375)

Your 1999 Stock Award of \$713 is made under the 1999 Lehman Brothers Stock Award Program and is based on your 1999 Total Compensation. A full statement, showing all awards to date will be issued in the first quarter of 2000 but as an illustration, we have approximated the number of CSAs awarded and calculated a valuation using the market price at grant of \$76.375.

***	FOR ILLUSTRATIVE	PURPOSES ON	LY ***	
4000	\$	Approx. No. of Units	Award \$ Price	\$ Value at Grant
1999 Award CSAs are subject to restrictions u	713	12	61.10	891

CONTINGENT STOCK AWARD SUMMARY

Paul Nancarrow

Initial Award Value at Grant

Award Date	Description	Award Price	Amount of Compensation Paid in CSAs	CSAs Granted	Dividend Reinvest	Total	CSAs Subject to Limited	0
11/30/1999	2000 CSAs (b) 1999 CSAs (b)	\$39.650	\$735 \$734	21.00 18.52	0.00	21.00	Conditions 0.00	Conditions End
11/30/1997	1996 Sept. CSAs (b) 1997 CSAs (b)	\$13.700 \$19.425	\$712 \$1,071 \$1,647	23.32 78.16 84.80	0.08 0.22 1.21 1.84	18.60 23.54 79.37	14.90 18.88 63.73	11/30/2006 11/30/2005 11/30/2004 11/30/2003
TOTAL CONT	ingent Stock Awards:		\$4,899	205.00		86.64	68.52	11/30/2002
			+ 1,000	225.80	3.35	229.15	166.03	

Hypothetical Pre-tax Award Value (c)

For illustration only Award Date	Amount of Compensation Paid in CSAs	Total		Poter			
09/20/2001	\$735	CSAs	Ø \$50	@ \$60	@ \$70	@ \$80	
11/30/2000 11/30/1999 09/21/1998 11/30/1997	\$734 \$712 \$1,071 \$1,647	21.00 18.60 23.54 79.37 86.64	\$1,050 \$930 \$1,177 \$3,969 \$4,332	\$1,260 \$1,116 \$1,412 \$4,762 \$5,198	\$1,470 \$1,302 \$1,648 \$5,556	\$1,680 \$1,488 \$1,883 \$6,350	\$1,890 \$1,674 \$2,119
Total:	\$4,899	229.15	\$11,458		\$6,065	\$6,931	\$7,143 \$7,798
(a) Your CSAs are	Subject 6			\$13,748	\$16,041	\$18,332	\$20,624

⁽a) Your CSAs are subject to conditions for a period of five years after the award date. They cannot be sold, traded or pledged for that five-year period. You become entitled to 75% of these CSAs two years after the award date and to the remaining 25% five years after the award date on November 30. Notwithstanding this schedule, your entitlement is subject to your refraining from the activities outlined in your award letter, including working for a competitor and engaging in detrimental activity.

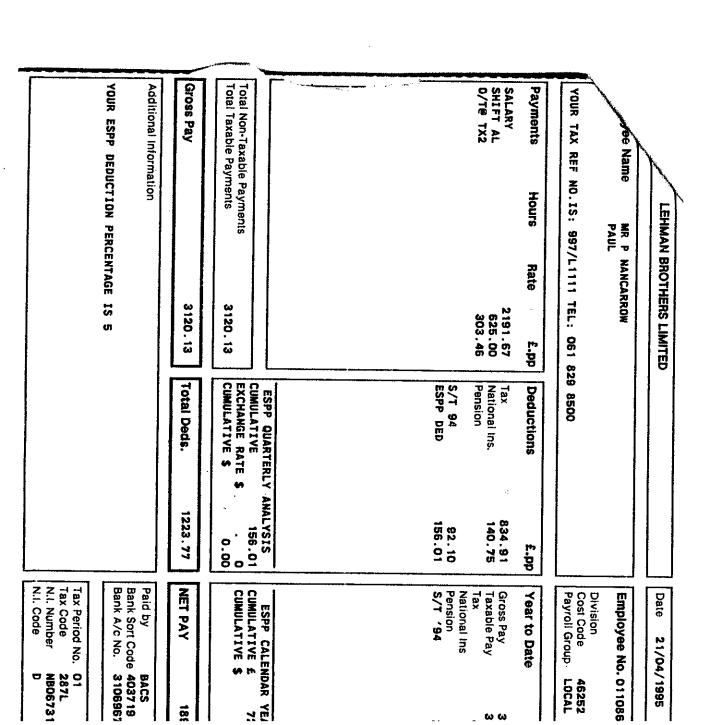
Note: All terms and conditions of the awards are subject to the applicable controlling plan documents, including but not limited to your Contingent Stock Award Letter, the Employee Incentive Plan and the Employee Incentive Plan Prospectus.

⁽b) Your CSAs are subject to conditions for a period of five years after the award date. They cannot be sold, traded or pledged for that five-year period. You become entitled to 80% of these CSAs one year after the award date and to the remaining 20% five years after the award date on November 30. Notwithstanding this schedule, your entitlement is subject to your refraining from the activities outlined in your award letter, including working for a competitor and engaging in detrimental activity.

⁽c) Hypothetical value of CSAs is calculated by multiplying the number of CSAs by the indicated stock price.

moleyer -070 LEHMAN BROTHERS I MITCH	CDC - WITCO				1
				Date 21/07)7/1994
imployee Name MR P NANCARROW	ROW			Employee No. 0110866	0.0110866
OUR TAX REF NO.IS: 997/L1111 TEL:	TEL: 061 829	29 8500		Division Cost Code	43263 1000
Þc					1
ayments Hours Rate	£.pp	Deductivns	£.pp	Year to Date	e £.pp
SEARY SHIFT AL	2125.00 625.00	Tax National ins.	964.05 166.64	Gross Pay	1276
5:24 ***	657.69	Pension ·	-	Tax	3511.40
0:55		S/T '93	85.80	Pension	556.55
10 1	· (
./17	· ·		·		
1 1					
ere 53	•				
En of	·				
0 43			-		
2/1 P(
ાં ola i axable Payments ાં axable Payments	3407.69				-
iross Pay	3407.69	Total Deds.	1386.87	NET PAY	2020.82
Additional Information					
oc 1280 dia mormanon				Paid by Bank Sort Code Bank A/c No.	BACS 31069675
Do				Tax Period No.	04
5-mg					270L NB057312A
08-1355					

~



LEHMAN	LEHMAN BROTHERS LIMITED			Date 19/04/	ORRI
Vame	NANCARROW			Employee No.	0110866
Oment Om TAX REF NO.1S: 997/	997/L1111 TEL:0161 8:	829 8525		Division Cost Code Payroll Group	46252 LOCAL
			,	V	
aignent	rate r.pp	Denneuctions	7	ופמן נט טמנס	7
24 SMIFT AL	2279.17 683.75	Tax National Ins. Pension	776.60 145.27	Gross Pay Taxable Pay Tax	2962.92 2962.92 776.60
10:55:		S/T '95 ESPP DED	95.70 296.29	Pension	j
11/17/10				S/T '95	287. 10
0 Entered 45 of 53		· I	20 V 10 V	2	
1 0 2 1 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1		& QUARTER	2 296.29	YTD STERLING YTD DOLLAR	1348.60 1608.35
Gross Pay	2962.92	Total Deds.	1313.86	NET PAY	1649.06
Abolitional Information	ENTAGE IS 10			Paid by Bank Sort Code Bank A/c No.	BACS 403719 31069675
mg Do				Tax Period No. Tax Code N.I. Number N.I. Code	01 165L NB067312A
08-1355\$-					1

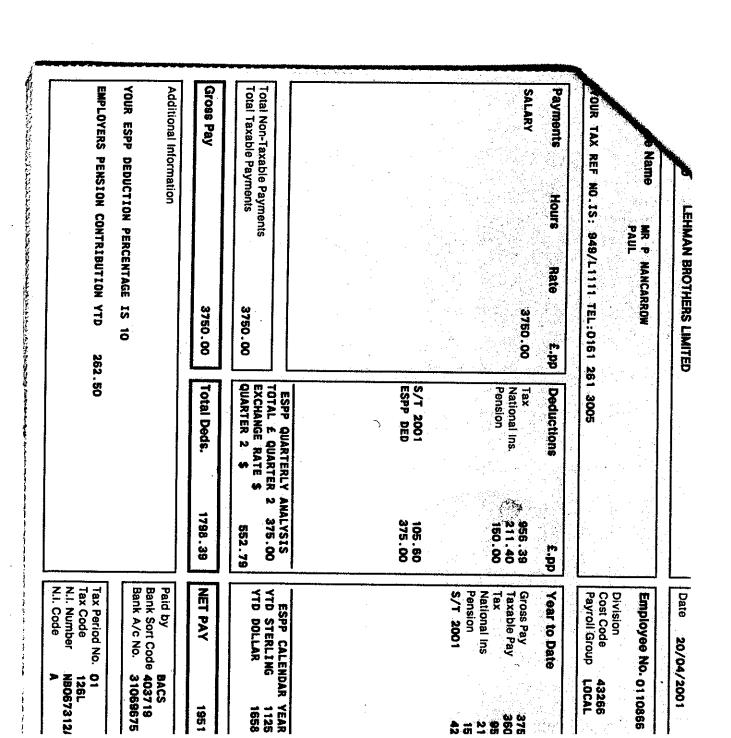
08-13555-r	mg Doo	Additional information O O O O O O O O O O O O O O O O O O O	3 <u>ro</u> ss Pay 2750.00	'ক্ষা'Non-Taxable Payments 'ক্ৰা' Taxable Payments 2750.00	/10 Pg	Entered 1 46 of 53	.1/17/10 1	0:55:2		ayments Hours Rate	OUR TAX REF NO.IS: 997/L1111 TEL:0161	JOYGE NAME MR P NANCARROW PAUL	LEHMAN BROTHERS LIMITED
		10	.00	8			···	·	2750.00	£.pp	0161 829		MITED
			Total Deds.		TOTAL & QUARTER			S/T 96 ESPP DED	Tax National Ins. Pension	Deductions	19 8525		
	7-2		1156.84	۵	ANALYSIS			99.00 275.00	630.80 152.04	, £.pp			
N.I. Code D	e No	Paid by BACS Bank Sort Code 403719 Bank A/c No. 310696	NET PAY	YTD DOLLAR	ESPP CALENDAR		- 1001	National Ins Pension S/T '96	Gross Pay Taxable Pay Tax	Year to Date	Division Cost Code 46252 Payroll Group LOCAL	Employee No. 011	Date 21/04/1997
	01 266L NB067312A	BACS 403719 31069675	1593. 16	3443.90				152.04 396.00	2750.00 2750.00 630.80	£.pp	.A.L	0110866	3

LEHMAN BROTHERS LIMITED	Date 21/04/1998
nt Name MR P NANCARROW	Employee No. 0110866
Waur TAX REF NO. IS: 997/L1111 TEL:0161 829 8525	Division Cost Code 46252 Payroll Group LOCAL
Payments Hours Rate £.pp Deductions £.pp	Year to Date £.pp
3250.00 Tax 830.80 National Ins. 158.78 Pension	ay 325 Pay 325 83
11/17/10 10 ESPP DED 325.00	S/T '97 303.30
g 47 of 53	
TOTAL £ QUARTER 2 325.00 Taxable Payments 3250.00 TOTAL £ QUARTER 2 325.00 EXCHANGE RATE \$ 535.11	ESPP CALENDAR YEAR YTD STERLING 2024.65 YTD DOLLAR 3333.59
3250.00 Total Deds. 1415.68	NET PAY 1834.32
Aggitional Information 12 17 10 PUBR ESPP DEDUCTION PERCENTAGE IS 10	Paid by BACS Bank Sort Code 403719 Bank A/c No. 31069675
08-1355-mg	Tax Period No. 01 Tax Code 266L N.I. Number NB067312A N.I. Code D

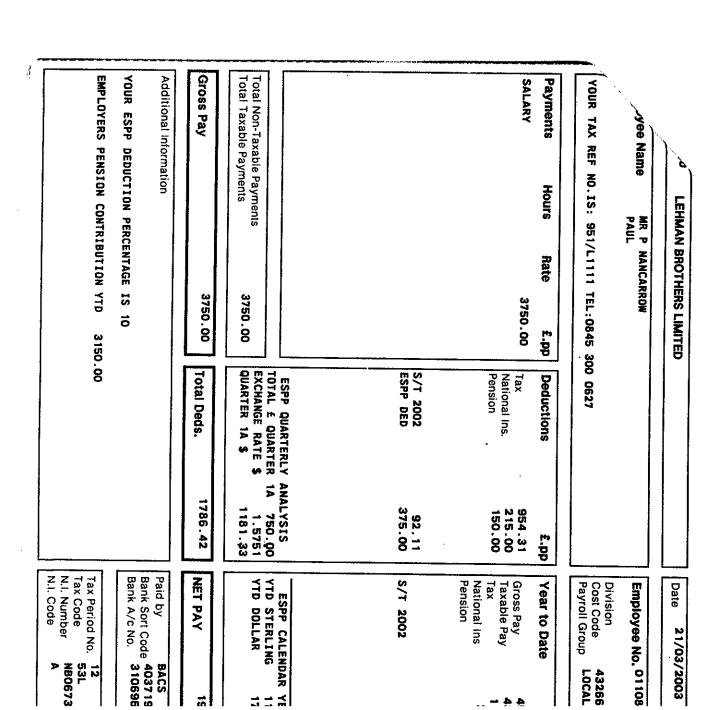
70 LEHMAN	LEHMAN BROTHERS LIMITED		•	Date 21/04/1999
yee Name MR P	NANCARROW			Employee No. 0110886
SOUR TAX REF NO. IS: 949/	949/L1111 TEL:0161 261	3005		Division Cost Code 46252 Payroll Group LOCAL
Payments Hours	Rate £.pp	Deductions .	£.99	Year to Date £ po
4 Mai	3250.00	Tax National Ins. Pension	722.53 158.00	Pay 325 le Pay 325
10:55:2		S/T 98 ESPP DED	103.50 325.00	onal ins
11/17/10				
Entere 8 of 53				
10 10 Wal Non-Taxable Payments		→ 및 	ANALYSIS 2 325.00	STERLING
Total Non-Taxable Payments Total Taxable Payments	3250.00	QUARTER 2 \$	520. 65	
∰oss Pay	3250.00	Total Deds	1309.03	NET PAY 1840. 97
Additional information 280 20 POUR ESPP DEDUCTION PERCENTAGE	ENTAGE IS 10			Paid by BACS Bank Sort Code 403719 Bank A/c No. 31069875
Doo				Tax Period No. 01 Tax Code 547J
5-mg				e,
8-135	mi en		The gamestand of the state of t	en principal per

.

LEHMAN BROTHERS LIMITED	7		Date 20/04/2000	8
e Name MR P NANCARROW			Employee No. 01	0110866
### PAUL PAUR TAX REF NO.IS: 949/L1111 TEL:0161 251	31 3005		Division Cost Code 43 Payroll Group L0	43266 LOCAL
Asyments Hours Rate £.px	Deductions	f.pp	Year to Date	đợ.3
0:55:24 AMa	Tax National Ins. Pension	806.19 199.00 135.33	Gross Pay Taxable Pay Tax National Ins Pension	3383.33 3248.00 806.19 199.00 135.33
11/17/10 1	S/T 99 ESPP DED	108.00 338.33	S/T '99	432.00
	QUARTERLY	<u> </u>		_
Total Non-Taxable Payments Total Taxable Payments 3383.33	£ QUARTER NGE RATE \$	2 338.33	LAR	
	Total Deds.	1586.85	NET PAY	1796 . 48
Additional Information 29 10 YOUR ESPP DEDUCTION PERCENTAGE IS 10	terminalista esperante esperante esperante esperante esperante esperante esperante esperante esperante esperan		Paid by BA Bank Sort Code 40 Bank A/c No. 31	BACS 403719 31069675
CONTRIBUTION YTD	236.83		Tax Period No. 01 Tax Code 17 N.I. Number NB N.I. Code A	01 173L NB067312A
Ó8-1355			:	



mployer 676 LEHMAN BRO	LEHMAN BROTHERS LIMITED			Date 19/04/	2002
oyee Name MR P	NANCARROW			Employee No.	0110866
OF TAX REF NO.IS: 951/L1111	TEL: 0845	300 0627		Division Cost Code Payroll Group	43266 LOCAL
Do					
agments Hours Rate	te £.pp	Deductions	£.pp	Year to Date	£.pp
ÆARY	3750.00	Tax National Ins.	935.00 215.00	Gross Pay Taxable Pay	3750.00 3600.00
10:55:24		Pension	150.00	Tax National Ins Pension S/T 2002	935.00 215.00 150.00 475.20
11/17/10		S/T 2001 ESPP DED	95.04 375.00		
0 Entered 51 of 53		ESPP QUARTERLY	ANAL YSIS	ESOP CALENDAR	DAR YEAR
সূত্র সন্থা Non-Taxable Payments সন্থা Taxable Payments	3750.00		2 375.00	1 . 349	
i <mark>oss Pay</mark>	3750.00	Total Deds.	1770.04	NET PAY	1979.96
ABional information	AGE IS 10			Paid by Bank Sort Code Bank A/c No.	BACS 403719 31069675
SPLOYERS PENSION CONTRIBUTION YID		262.50		Tax Period No. Tax Code N.I. Number N.I. Code	01 134L NB067312A A
08-1355					



P		337.50	16		DEDUCTION PERCENTAGE IS PENSION CONTRIBUTION YTD	ESPP)YERS	YOUR
Paid by BAC! Bank Sort Code 403; Bank A/c No. 310		- Parkers			n	Additional Information	Addi
NET PAY	1794,67	Total Deds.	3750.00	37		Gross Pay	Gros
ESPP CALENDAR YTD STERLING YTD DOLLAR	ANALYSIS 2 375.00 695.93	ESPP QUARTERLY ANALYSIS TOTAL £ QUARTER 2 375.0 EXCHANGE RATE \$ QUARTER 2 \$ 695.	3750.00	37	ayments nts	Total Non-Taxable Payments Total Taxable Payments	Total Total
,	•		······································				
	125.13 375.00	S/T 2003 ESPP DED					e gan en
Gross Pay Taxable Pay Tax National Ins Pension S/T 2003	258.45 150.00	Tax National Ins. Pension	3750.00	37		ARY	SALARY
Year to Date	£.pp	Deductions	£.pp	Rate	Hours	ayments	о ayr
Division Cost Code Payroll Group Coc		0 0627	:0845 30	951/L1111 TEL:0845 300 0627	NO.IS: 951/	YOUR TAX REF N	Ϋ́
Employee No. 0110				NANCARROW	NR P	Employee Name	Emp
Date 21/04/2004			LIMITED	LEHMAN BROTHERS LIMITED	LEHMAN	oyer 070	Employer
				7			